## **ARMHS Information Session**

Please ensure your **FULL NAME** is showing in Webex

Attendance for full session required for credit

Please update your contact information in Trainlink to match your name and email used to register for Webex

Please send any ARMHS related questions to:

dhs.armhs@state.mn.us



# ARMHS Information Seminar for Potential Provider Organizations & Clinicians

Wade Keller | Mental Health Program Consultant - ARMHS

## Who is here today?

#### Administrative Business Person

- responsible for the overall success of the business
- ensuring that the business follows the guidelines for offering ARMHS

#### Treatment Supervisor

- ensuring that the clients are eligible for ARMHS,
- ensuring services <u>meet medical necessity</u>, and
- ensuring all documentation is in line with requirements

## **Seminar Agenda**

- Introductions
- What is ARMHS?
- Eligibility for ARMHS
  - Provider Eligibility
  - Client Eligibility
- ARMHS Service Categories
- The ARMHS Team & Roles
- Certified Peer Specialists

- Mental Health Information System (MHIS)
- Minnesota Health Care Programs (MHCP) Provider Enrollment
- Office of Inspector General (OIG)
- ARMHS Documentation
- The Certification
   Application
- Resources

## Introductions/Attendance

## **Attendance**

6

- Please use the chat function to introduce yourselves to the ARHMS community
- Your role Admin/Owner/Mental Health Professional
- Background?/Why ARMHS?
  - Serving any unique needs/populations?
- Anything you want the ARMHS community to know?

## Introductions (Wade Keller)

#### Wade Keller, MA, LMFT

Mental Health Program Consultant - ARMHS

Phone: 651-431-2248

• Email: <a href="mailto:dhs.armhs@state.mn.us">dhs.armhs@state.mn.us</a>

ARMHS Webpage

## Introductions (Keziah Mbogo)

## **Keziah Mbogo**

- MHCP Provider Trainer
- Provider Call Center: 651-431-2700 or 1-800-366-5411
- Member Call Center: 651-431-2670 or 1-800-657-3739
- Email: dhs.healthcare-providers@state.mn.us
- Webpage: MHCP Provider Manual Provider Basics

## Introductions (Shelley White)

## **Shelley White**

Peer Specialist Policy Specialist

• Phone: 651-431-2518

• Email: <a href="mailto:shelley.white@state.mn.us">shelley.white@state.mn.us</a>

#### **REMINDER!**

- If you're calling in by phone, you MUST EMAIL
   <a href="mailto:dhs.armhs@state.mn.us">dhs.armhs@state.mn.us</a> with your FULL NAME as registered in
   <a href="mailto:Trainlink">Trainlink</a> along with the ph# you called in from.
- Your name entered in the meeting today must MATCH EXACTLY what is in Trainlink
- If these steps are not followed you risk not receiving credit for attending today.
- Attendance is required for the full session for credit.

## What is ARMHS?

## What is ARMHS?

#### **Adult Rehabilitative Mental Health Services**

- In 2002, the MN DHS Adult Mental Health Division was granted an Medical Assistance (MA) Rehabilitation Waiver.
  - MA would now cover certain services previously deemed "non-medical" in nature but essential to mental health care.
- MA reimbursement for essential mental health services recognizes that mental illness is:
  - a real disease
  - needing the same continuum of care as cancer, diabetes, etc.

## What is a "Rehabilitative" Service?

"Medical or remedial services recommended by a physician or other licensed practitioner of the healing arts, within the scope of practice under State Law, for maximum reduction of physical or mental disability and restoration of a recipient to his/her best possible functional level."

Goal ~ reduce the duration & intensity of medical care to the least intrusive level possible which sustains health.

**Center for Medicare/Medicaid Services (CMS)** 

## **Characteristics of ARMHS**

#### **MN Statute 256B.0623**

"Mental health services which are **rehabilitative** and enable the recipient to **develop and enhance** psychiatric stability, social competencies, personal and emotional adjustment, independent living, parenting skills, and community skills, when these **abilities are impaired** by the symptoms of mental illness...

...Enable a recipient to **retain stability and functioning** if the recipient is at risk of losing significant functionality or being admitted to a more restrictive service setting without these services; **and...** 

## What is ARMHS (Services)?

#### ARMHS Services <u>ARE</u>:

- Restorative, recovery-oriented interventions
- Delivered to individuals who have the capacity to benefit from them
- When...skills and abilities that have been <u>lost or diminished due to the</u> <u>symptoms</u> of mental illness
- Can be... <u>acquired</u>, <u>practiced</u>, and <u>enhanced</u> whenever and wherever they are needed.
- Delivered in their homes or elsewhere in the community.
- Delivered Face-to-Face

# Eligibility for ARMHS

## **Eligibility for ARMHS (Provider)**

#### **Provider Eligibility**

- Must be Certified by the State Of Minnesota
- Must be a MA-approved Provider Organization (MHCP)
- Must have the capacity to provide the full array of covered ARMHS categories either directly, or by contract.
- Must **coordinate** within the community mental health system that you are serving.

## **Eligibility for ARMHS (Client)**

#### **Client Eligibility**

- Adult, **age 18+**
- Diagnosed of a medical condition, such as mental illness or traumatic brain injury, for which ARMHS are needed
- Substantial disability and functional impairment in 3+ life domains, due to the symptom(s) of the mental illness, decreasing self sufficiency

## **Eligibility for ARMHS (continued)**

#### **Client Eligibility**

- <u>Diagnostic Assessment</u> by a qualified mental health professional, indicating <u>medical necessity</u>;
- Mental Health Professional opinion that the person has the cognitive capacity to engage in & benefit from the rehabilitative nature of this service

# ARMHS Covered Service Categories

## **ARMHS Covered Service Categories (Codes)**

- Functional Assessment (FA) H0031 billing code
- Individual Treatment Plan (ITP) H0032 billing code
- Basic Living and Social Skills (BLSS) H2017 billing code
- Medication Education H0034 billing code
- Community Intervention (CI) 90882 billing code
- Transition to Community Living (TCL) H2017 or 90882 w/U3 modifier
- Certified Peer Specialist Services (CPSS) H0038 billing code

4/19/2024 21

## **ARMHS Covered Service Categories (FA)**

#### **Functional Assessment**

- Product Category: FA + Level of Care (if missing from DA)
- Describes how the person's mental health symptoms currently impact their day-to-day functioning in a variety of roles and settings
- Face-to-Face
- Individual only

## **ARMHS Covered Service Categories (LCA)**

#### **Level of Care Assessment**

- Level Of Care Provider Manual Page
- Acceptable assessment tools:
  - LOCUS (if provider has arrangement w/copyright holder)
- For ARMHS, only necessary IF a level or care/necessity of care is missing
  from a clients current DA, OR, when a clients functioning has significantly
  changed and a new/updated FA is required.
- Not required during an updated FA, IF level of care/necessity of care is documented on clients current DA.

## **ARMHS Covered Service Categories (ITP)**

## **Individual Treatment Plan (ITP)**

- With the client, not for the client. (Person-Centered)
- A written plan that **documents the treatment strategy**, the schedule for accomplishing the goals and objectives, and the responsible party for each treatment component. Complete an individual treatment plan before mental health service delivery begins.
- Face-to-Face
- Individual only

## **ARMHS Covered Service Categories (BLSS)**

## **Basic Living and Social Skills**

ARMHS services instruct, assist, & support the recipient in areas such as:

- Interpersonal Communication Skills
- Utilizing Community Resources and Community Integration
- Crisis Assistance
- Mental Illness Symptom Management
- Relapse Prevention Skills
- Healthy Lifestyle Skills and Practices
- Face-to-Face
- Individual or Group

4/19/2024 25

## **ARMHS Covered Service Categories (ME)**

#### **Medication Education**

Medication Education services center on:

- The role and effects of medications in treating symptoms of mental illness
- The **side effects** of medications
- Face to Face
- Individual or Group

4/19/2024 26

## **ARMHS Covered Service Categories (CI)**

## **Community Intervention**

Services activated on behalf of a client in order to:

- Alleviate or reduce a barrier(s) to community integration or independent living; ~ or ~
- Minimize the risk of loss of functioning which could result in hospitalization or placement in a more restrictive living arrangement

Examples: Potential Eviction, Job Performance or Attendance

 Face-to-face not required. Client does not need to be present for this service.

## **ARMHS Covered Service Categories (TCL)**

## **Transition to Community Living (TCL)**

<u>Pre-Authorized</u> concurrent services provided to the person currently receiving higher level of care services AND

- The person is leaving a higher level of care service (ex: ACT) within 180 days.
- Allows ARMHS and the provider to work with the person to promote successful re-entry into the community.
- Are coordinated with, but not replacing the responsibilities required of the higher level of care services.
- Face-to-Face
- Individual Only

## **ARMHS Covered Service Categories (CPS)**

## **Certified Peer Specialist (CPS) Support Services**

- A non-clinical service approach emphasizing recovery.
- Person-Centered approach (Individualized)
- Interventions promote:

Recovery, socialization, self-sufficiency, self-advocacy, natural supports development, maintenance skills learned from other support services.

- Through the sharing of a mutual life experience related to mental illness.
- Face-to-Face
- Individual or Group

## **Non-Covered Services**

- Room & Board services
- Personal care attendant (PCA) services
- <u>Transportation</u> of people to and fro
- Vocational/Occupational (pre-vocational training)
- Academic education (classroom, teaching to read or write)
- Provider "doing for" activities, i.e. household chores, grocery shopping
- Services to persons while <u>residing in public institutions</u> (specifically inmates of penal institution, IMDs, state custody, under state administrative control)

# The ARMHS Team and Their Roles

- Mental Health Professional 2451.04.2
  - Eligible Treatment Supervisor
- Certified Rehabilitation Specialist <u>2451.04.8</u>
  - Eligible Treatment Supervisor
- Treatment Supervisors responsible for: Treatment supervision, see 2451.06

- Mental Health Practitioner <u>2451.04.4</u>
- Clinical Trainee <u>2451.04.6</u>
- Mental Health Rehabilitation Worker 2451.04.14
- Mental Health Certified Peer Specialist <u>2451.04.10</u>

## **Treatment Supervisor**

- Must be Medicaid approved/enrolled with MHCP.
- Directs and oversees the work of all ARMHS staff.
- All approved service activities are implemented under Their Board licensure.
- <u>2451.06</u> Treatment Supervision

## **Treatment Supervisor**

- Examines/approves documentation i.e. DA, LOC, FA, ITP & Progress notes.
- Conducts ARMHS supervision (1:1 or group) at least once monthly.
- Assures recipient eligibility
- Responsible for ensuring MH Rehab worker supervision and direct observation occurs as required
- Responsible for ensuring training requirements are met for all staff prior to providing services

## **Treatment Supervisor**

- If applicable, meets monthly with directing Clinical Trainee or MH Practitioner:
  - review program needs
  - review field observations & evaluate MHRW 2451.06.3
  - plan staff training
  - consultation as needed
  - examines and assures timely completion of documentation
- Available for urgent consultation
- 256B.0623.6

#### **Mental Health Practitioner Qualifications – 2451.04.4**

- Behavioral Science Coursework (30 Semester or 45 Quarter Hours) AND one of the following...
  - + 2000 hours experience in the delivery of services to adults with mental illness, <u>substance use disorder</u>, <u>emotional disturbance</u>, <u>developmental disabilities</u> or traumatic brain injury **OR**
  - Fluent in non-English language to which at least 50 percent of the practitioner's clients belong and completes additional training 2451.05.3 OR
  - Practicum or Internship completed with direct service experience

#### Mental Heath Practitioner Qualifications...cont'd

#### • Work Experience:

- Does not meet Educational requirement + 4,000 hours experience in the delivery of services to persons with mental illness OR <u>substance use</u> <u>disorder</u>, <u>emotional disturbance</u>, <u>developmental disabilities</u> or traumatic brain injury
- Has 2,000 hrs experience w/above populations and receives supervision
   1x/week until 4,000 hrs experience met

#### Master's Degree:

• in one of the behavioral sciences or related fields

#### Clinical Trainee - 2451 Subd. 6

- Enrolled in graduate program enroute to licensure as professional and participating in internship/practicum w/license holder via graduate program
- Has completed graduate degree and in compliance w/requirements of licensure board
- Clinical Trainee's are responsible for ensuring their treatment supervision meets licensing board requirements

#### Mental Health Rehabilitation Worker Qualifications - 2451.04 Subd 14

High School diploma or equivalent AND one of the following:

- Fluent in non-English language to which at least 20% of clients belong
- Have an associate of arts degree
- Two years full-time post secondary education or 15 semester/23 quarter hours in behavioral sciences or related fields
- Be a registered nurse
- w/in the previous 10 yrs, 3 yrs personal life experience w/mental illness
- w/in the previous 10 yrs, 3 yrs experience as primary caregiver to an adult w/MI, TBI, SUD or DD
- w/in the previous 10 yrs, 2,000 hrs experience providing health and human services
- Mandatory MH training topics

# Mental Health Certified Peer Specialist Qualifications - 2451.04 Subd 10

- Must have been diagnosed with a mental illness
- Current or former mental health services client AND
- Have certification as mental health certified peer specialist as defined in 245I.04

(Language in 256B.0615 has been struck)

# Staff Training Requirements

2451.05.Subd.1 - Training Plan – required for all staff, \*including Treatment Supervisors

- A formal process to evaluate training needs of staff (i.e. annual performance eval)
- description of how ongoing training conducted and what timeframe it's based on (hire date/annually)
- description of how prior training(s) are documented and verified
- description of how it's determined staff needs addt'l training and when that will be administered

#### 2451.05.Subd.2 – Documentation of training per training plan

- 1. Topics
- 2. Name of trainee
- 3. Name/credentials of trainer
- 4. License holder's method of evaluating competency upon completion of training(s)
- 5. Date of training(s)
- 6. Length of training in hours and minutes

\*\*Documentation of Continuing Education credit(s) approved by a licensing board is sufficient to document training(s)

# 2451.05.Subd.3(a,b) – Initial Training – All Staff\*(includes Treatment Supervisors)

- 1. Vulnerable adults & maltreatment of minors, w/in 72 hrs of 1st client contact
- 2. Prior to direct contact:
  - a. Client rights & protections
  - b. MN health records act
  - c. Emergency procedures
  - d. Activities and functions specific to their role
  - e. Professional boundaries
  - f. Specific needs of clients (developmental status, cognitive functioning, physical & mental abilities)

#### 2451.05.Subd.3 (c) - Initial Training - Some staff\* (2451.04)

- **1. Prior to client contact**, 30 hours of training in the following:
  - a. Mental illnesses
  - b. Client recovery & resiliency
  - c. Mental health de-escalation techniques
  - d. Co-occurring mental illness and substance use disorders
  - e. Psychotropic medications and medication side effects

\*Practitioners fluent in non-English language w/50% of caseload matching; Practitioners qualified w/4000 hrs. experience to clients w/Traumatic Brain Injuries or Developmental Disabilities; Practitioners qualified w/2000 hrs. experience ( and receiving weekly supervision) to clients w/Traumatic Brain Injuries or Developmental Disabilities

#### 2451.05.Subd.4 – Ongoing Training – All Staff

#### 1. Required ANNUALLY

- a. Vulnerable adults & maltreatment of minors
- b. Client rights & protections
- c. MN health records act
- d. Emergency procedures
- 2. **30 hrs every 2 yrs**. Topics based upon program needs and staff's competency



#### **Certified Peer Specialists**

**Shelley White** 

**DHS- Peer Policy Team** 

#### Where do I find the legislative statutory language?

## So glad you asked

**MN Statute 256B.0615** 

**MN Statute 2451.04** 

**MHCP Provider Manual Page** 

MENTAL HEALTH CERTIFIED PEER SPECIALIST.

## Who can be a Certified Peer Specialist?

A mental health certified peer specialist must:

- (1) have been diagnosed with a mental illness;
- (2) be a current or former mental health services client; and
- (3) have a valid certification as a mental health certified peer specialist under section <u>2451.04</u>

## Scope of Practice

MN statute 245I.04 Subd. 11.

#### Mental health certified peer specialist scope of practice.

- A mental health certified peer specialist under the treatment supervision of a mental health professional or certified rehabilitation specialist must:
- (1) provide individualized peer support to each client;
- (2) promote a client's recovery goals, self-sufficiency, self-advocacy, and development of natural supports; and
- (3) support a client's maintenance of skills that the client has learned from other services.

#### What is the current training?

Currently there is only one approved training for mental health peers. It is provided by the RI (formerly Recovery Innovations). Class size is limited to 18.

Peer Employment Training (PET) as the official training. It is:

- 76 hours of virtual training
- Nightly homework , take home mid-term
- Observed and graded role play
- Required attendance and participation
- Currently taught Monday- Friday 8:30-4:30 for two concurrent weeks

#### How do people trained and certified?

Individuals interested in working as mental health peer specialist should:

Email interest to

DHS.Peer.Support.Services@state.mn.us

#### What happens next?

They will receive an email from DHS with a document attached that:

- 1) Describes the training and technology requirements. Computer with camera and microphone. Smart phones are not allowed.
- 2) A link to the application that goes directly to RI

The application

- 1) Asks them several short answer questions
- 2) Asks them to attest to the fact that they are an individual with a mental illness and if accepted into the training can fulfill the attendance requirement

#### And then

#### **THEY WAIT**

Hopefully not to long but at times the wait list is 3 to 6 months long.

RI will respond: their application has been received, offer them a training date and will send them a confirmation email.

One to two weeks prior to the training the manual, workbooks will arrive in the mail

At this point, the State has paid for the seat, and if they are a no show, they cannot come back at state expense.

#### What will they learn?

#### The training focuses on:

- 1) Developing a keen sense and appreciation of their own recovery journey
- 2) Practicing telling their story appropriately
- 3) Learning and practicing how to ask open questions and listening.
- 4) Helping the person they are serving to recognize and develop their strengths.
- 5) Boundaries and ethics

## What is not covered in the training?

Your policies and procedures

Specific training requirements for ARMHS providers

Your documentation methods

#### Confusion – Recovery peers vs Mental Health Peers

Recovery peers are individuals with a lived experience of substance use.

Recovery peers are not currently billable in ARMHS.

Recovery peers are not interchangeable with mental health peers.

The training, the statutes are different.

Some peers are dually certified.

Questions – please ask

## The Secret to the Transformation of Your Agency

Peers can be transformational for the people you serve. Some tips for Success

You need peers to be reflective of the people you serve.

You need several peers. No one peer will be a good match for everyone.

Peers are more inclined to stay at one provider if they have regular hours and wages they can depend on. (So are ARMHS workers but I am just here to talk about peers<sup>3</sup>)

Supervisors would be wise to schedule some time for peers to meet and support each other.

#### How do we find candidates?

Share training information with your local providers.

Be on the look out for individuals who are living well in their recovery and are ready for work or to change careers.

Please remember this is an intense training. If you are asked to make a recommendation, consider- if you were able to hire this person- would you?

A person might make a great peer, but timing is important. The potential student gets only one bite at the state training dollar. Coming right out of intense services is not usually the best time to take the training.

#### Questions?

#### Don't be afraid you can call me 651-431-2518

Email me <a href="mailto:shelley.white@state.mn.us">shelley.white@state.mn.us</a>





# Thank You!

**Shelley White** 

One more time!!!!!!

Shelley.white@state.mn.us

651-431-2518

# Mental Health Information System (MHIS)

#### What is MHIS?

#### MHIS (Mental Health Information System)

is a secure web-based portal that is used to collect client-level data on those who receive mental health services.

#### Who is reported into MHIS?

- Who to report: all clients who receive publically or grant funded mental health services
  - Clients who received services reimbursed <u>entirely</u> by private insurance or self-pay should not be reported

#### What is collected?

- MHIS collects information on:
  - Client demographics
  - Outcome data
- This information is reported every 6 months
  - January June
  - July December

#### Why do we collect this data?

- DHS requires regular reporting of client outcome information for publically funded services
  - Policy decisions
  - Program funding
  - Federally mandated reporting

#### **Resources:**

#### **MHIS Technical Assistance**

- MHIS Technical Assistance Webpage
- email: <a href="mailto:dhs.amhis@state.mn.us">dhs.amhis@state.mn.us</a>
- phone: 651-431-2239

# MHCP Provider Eligibility and Compliance

Keziah Mbogo-Provider Trainer

#### MHCP Provider Eligibility and Compliance

#### **Provider Screening Requirements Overview**

- Navigating the website for resources
- **♦** MPSE Portal
- **❖**MHCP Provider Enrollment
  - ➤ Application Fees
  - ➤ MHCP Eligibility and Compliance Screening Actions:
    - Risk Levels
    - Site Visits
- Denials and Terminations
- **♦** How to contact MHCP?

#### **MHCP Provider Policies and Procedures**



MHCP Partners and Providers webpage: <a href="https://mn.gov/dhs/partners-and-providers/">https://mn.gov/dhs/partners-and-providers/</a>

Home > Partners and providers

#### >Partners and providers

Program overviews

Policies and procedures

eDocs library of forms and documents

News, initiatives, reports, work

Training and conferences

Contact us

Grants and RFPs

Licensing

IT systems and supports

#### Partners and providers

The Minnesota Department of Human Services has strong partnerships with counties, tribes, health are providers, other state agencies, consumer groups, advocacy organizations, community-based services and other partners in human services to address Minnesotans' needs.

#### Program overviews

Information about the programs we oversee or administer in collaboration with our partners.

#### News, initiatives reports, work groups

Updates on work in progress, data, analysis.

#### **Grants and RFPs**

Learn about grants and RFP opportunities the department supports.

#### Policies and procedures

Instructions, task tips, links to manuals, bulletins, updates and statutes.

#### Training and conferences

Find upcoming courses, conferences, webinars and oni training.

#### <u>Licensing</u>

We license service providers and monitor compliance with Minnesota laws and rules.

#### eDocs, forms, documents

Links to frequently needed forms, applications, brochures. Search the DHS library.

#### Contact us

Access to phone numbers, email addresses and maps.

#### IT systems and supports

Portal to MAXIS, MEC<sup>2</sup>, MMIS, MN –ITS, PRISM, SIR, SSIS and other applications.

Instructions, links to manuals, statutes, and the adult mental health policies and procedures webpage.

Search the DHS library for frequently needed forms, applications, brochures, bulletins.

DHS Contact phone numbers and email addresses.

Stay up to date on DHS news and initiatives, view MHCP provider news and updates, sign-up for email subscriptions.

#### MHCP Provider Policies and Procedures (Continued)



Home > Partners and providers > Policies and procedures > Adult mental health > Adult rehabilitative mental health services

#### **Partners and providers**

Program overviews

Policies and procedures

Enroll with MHCP

eDocs library of forms and

News, initiatives, reports, work groups

Training and conferences

Contact us

Grants and RFPs

Licensing

IT systems and supports

# Adult Rehabilitative Mental Health Services (ARMHS)

Adult Rehabilitative Mental Health Services (ARMHS) are a set of services that were developed to bring restorative, recoveryoriented interventions directly to individuals who have the capacity to benefit from them, whether in their homes or elsewhere in the community.

- Application update
- Become a provider initial certification
- Branch office certification
- Current ARMHS Providers what you need to know
- Questions about ARMHS
- Recertification
- Resources
- Sign up for ARMHS updates
- Training quarterly ARMHS application information seminar

## Minnesota Provider Screening and Enrollment (MPSE) Portal



Home > Partners and providers > Policies and procedures > Minnesota Health Care Programs > Provider > MPSE

### Partners and providers

Program overviews

Policies and procedures

eDocs library of forms and documents

News, initiatives, reports, work groups

Training and conferences

Contact us

Grants and RFPs

Licensing

IT systems and supports

## Minnesota Provider Screening and Enrollment (MPSE) Portal

The Minnesota Provider Screening and Enrollment (MPSE) portal is a new web-based application that allows providers to submit and manage their Minnesota Health Care Programs (MHCP) provider enrollment records and related requests online. This will eliminate the need for providers to submit paper enrollment requests. The MPSE portal is now available to all MHCP providers, electronic data interchange (EDI) trading partners, clearinghouses and billing intermediaries. Providers are able to access the MPSE portal from a link on the MN-ITS home page. The MPSE portal is a separate program from MN-ITS to manage the MHCP enrollment process.

#### MPSE Features and benefits

- MHCP providers (doctors and other medical personnel, including home care and waiver providers) are able to securely enroll and validate their information.
- · Enrolled MHCP providers have direct access to the MPSE portal from their existing MN-ITS account.
- The MPSE portal is available 24 hours a day, 7 days a week, so you can start an application, save it and go back to it based on your own schedule.
- · Manage all of your locations or sites online and share part or all of your application for others to complete.
- · Manage your affiliation with other individual health care providers or agencies.
- · Track your application status and progress.
- · Communicate with MHCP Provider Eligibility and Compliance staff through secure messaging.
- Access built-in guidance for help completing the application process.
- Minimize errors usually found on paper-based applications that cause the application to be returned to the
  applicant due to incomplete information.
- · Submit a request as a new provider to MHCP or add a new service location address.
- If you are already enrolled with MHCP, access the portal through your existing MN-ITS account to update enrollment records.
- Enrolled service locations that are currently closed with MHCP can activate or reactivate their record.

Control of the state of the sta

4/19/2024 73

# Minnesota Health Care Program Provider Manual



Home > Partners and providers

### >Partners and providers

Program overviews

Policies and procedures

eDocs library of forms and documents

News, initiatives, reports, work groups

Training and conferences

Contact us

Grants and RFPs

Licensing

IT systems and supports

### Partners and providers

The Minnesota Department of Human Services has strong partnerships with counties, tribes, health care providers, other state agencies, consumer groups, dvocacy organizations, community-based services and other partners in human services to address Minnesotans' needs.

### Program overviews Policie

Information about the programs we oversee or administer in collaboration with our partners.

## News, initiatives reports, work groups

Updates on work in progress, data, analysis.

### **Grants and RFPs**

Learn about grants and RFP opportunities the department supports.

## Policies and procedures

Instructions, task tips, links to manuals, bulletins, updates and statutes.

## Training and conferences

Find upcoming courses, conferences, webinars and online training.

### Licensing

We license service providers and monitor compliance with Minnesota laws and rules.

### <u>eDocs, forms,</u> documents

Links to frequently needed forms, applications, brochures. Search the DHS library.

#### Contact us

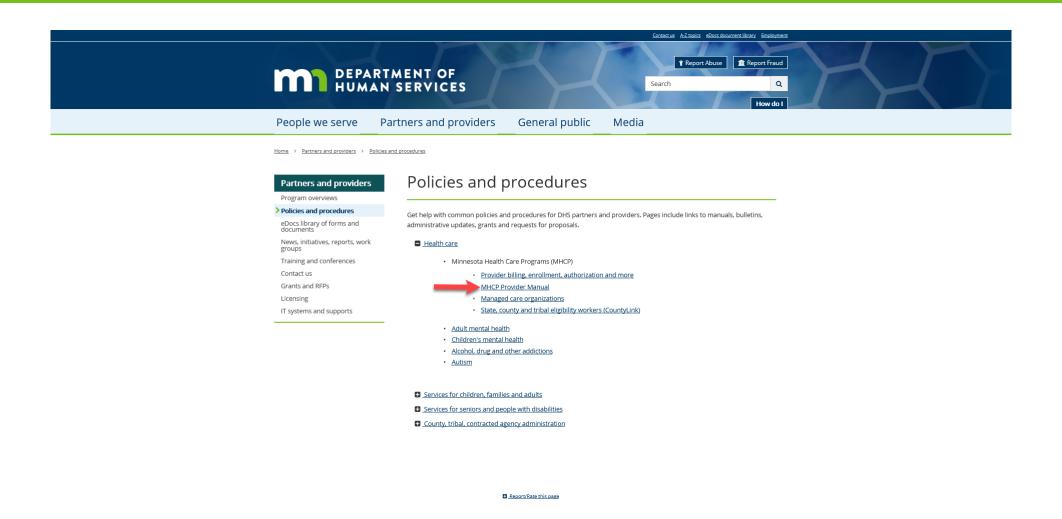
Access to phone numbers, email addresses and maps.

### IT systems and supports

Portal to MAXIS, MEC<sup>2</sup>, MMIS, MN
–ITS, PRISM, SIR, SSIS and other
applications.

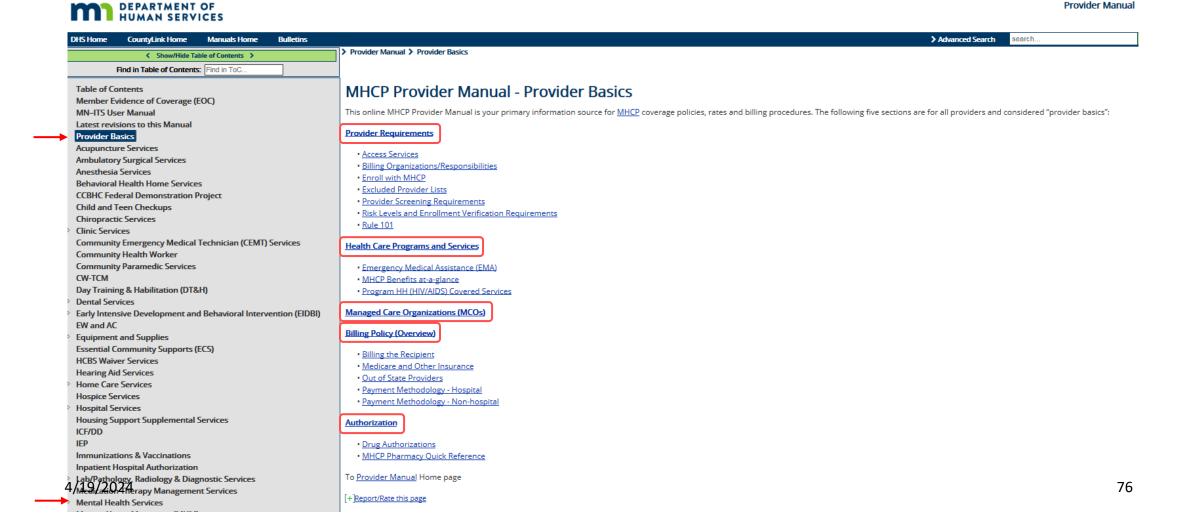
Report/Rate this page

# Minnesota Health Care Program Provider Manual continue 1...



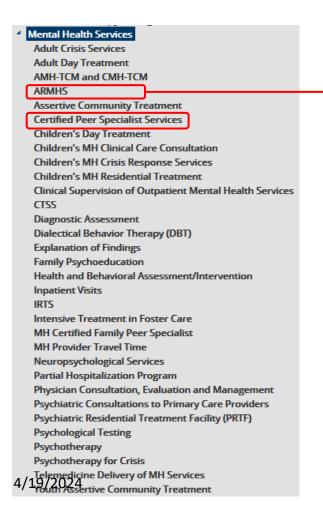
## **MHCP Provider Manual**

MHCP Provider Basics: Provider Requirements, Health Care Programs and Services, Managed Care Organizations (MCOs), Billing Policy Overview, Authorization



# **MHCP Provider Manual (Continued)**

## MHCP Provider Manual - Mental Health Services: ARMHS and Certified Peer Specialist Services

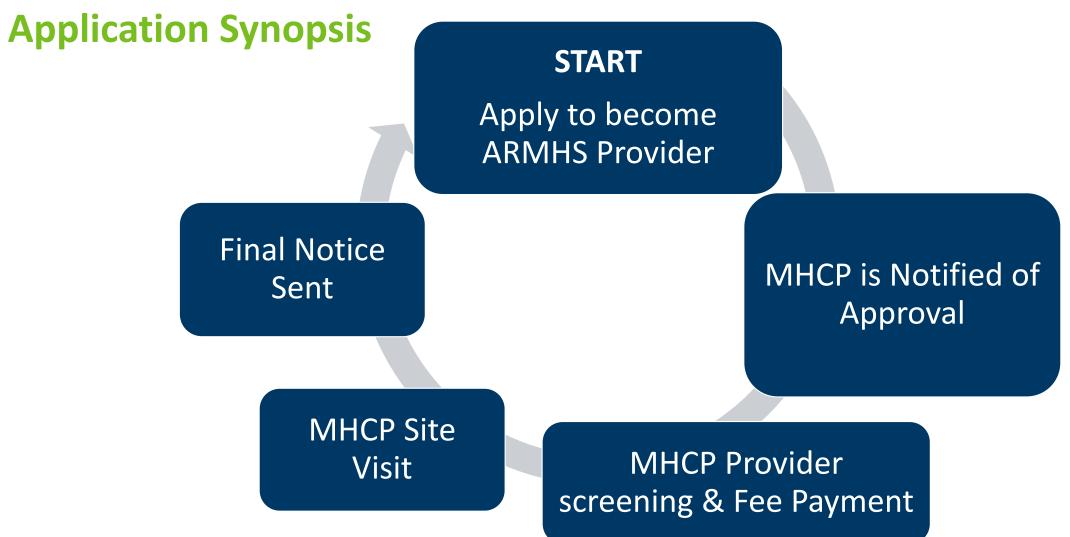


### Adult Rehabilitative Mental Health Services (ARMHS)

### Revised: April 9, 2019

- Eligible Providers
- Eligible Recipients
- Covered Services
  - Basic Living and Social Skills
  - Certified Peer Specialist Services
  - Community Intervention
  - Functional Assessment
  - Level of care utilization system (LOCUS)
  - Individual Treatment Plan
  - Medication Education
  - Transition to Community Living Services
- Progress Notes
- Noncovered Services
- Authorization
- Authorization Requirements for TCL Services
- Billing
- Legal References

## MHCP Provider Enrollment



## MHCP Provider Eligibility and Compliance (Application Fee)

## **Application Fees**

- Required for all institutional providers (ARMHS)
- The application fee is determined by the Centers for Medicare and Medicaid Services (CMS) and can change every calendar year.
- You are subject to the application fee for each new enrollment, reenrollment and at time of revalidation for each of your locations or provider type records.
  - MHCP enrolled providers are required to revalidate their enrollment record(s) at least once within every five years.

# MHCP Provider Eligibility and Compliance (Continued)

## **Risk Levels and Screening Actions:**

- CMS established risk levels for all provider types that enroll in Medicare.
- For providers that are not eligible to enroll with Medicare, The State of Minnesota assigns the risk levels to those services.

ARMHS = Moderate Risk

• All providers are subject to certain screening actions, some actions are specific to provider types with a high or moderate risk level.

Risk levels are based on potential for Fraud, Waste and Abuse of funds and services

## **MHCP Timelines**

- MHCP has 30 days to process your application
  - From the date of a complete submission
  - Once application is processed, request an pre-screening site visit
- OIG/SIRS has 60 days to complete the pre-screening site visit
  - Recommend approval/denial
- MHCP gives final approval or denial
  - If approved, you may begin <u>billing</u> for ARMHS

## What is SIRS?

- A section within DHS/OIG (Office of Inspector General)
- SIRS (Surveillance Integrity Review Section) investigates suspected:
  - Medical assistance fraud, theft, abuse;
  - Presentment of false or duplicate claims;
  - Presentment of claims not medically necessary; or
  - False statements or representations of material facts by vendors of medical care
- SIRS imposes sanctions including termination, suspension, fines, and/or overpayment recoupment

# SIRS Screening Site Visit

## When Are Site Visits Conducted

- **Pre-enrollment** Occurs prior to initial enrollment (Unannounced)
- Post-enrollment Occurs typically between 6-12 months after preenrollment visit
- Re-validation Occurs after provider completes the re-validation process
   Provider Enrollment
- Re-enrollment Occurs after previously enrolled provider re-applies to become a provider

## SIRS Pre-Enrollment Site Visit Outcomes

- Cases referred for a site visit will either be approved or denied
  - Approved = provider will receive a welcome letter from DHS Provider Enrollment and will be eligible to begin providing services
  - Denied = provider will receive a denial status letter from DHS Provider Enrollment and will have to re-apply
- Screening Investigators may <u>educate the provider</u> on concerns identified during the visit
- If fraud, waste, or abuse is suspected during the site visit, the case will be referred to SIRS Provider Investigations

## SIRS Pre-Enrollment Site Visit Denial Reasons

- The SMA (State Medicaid Authority) may deny the provider's application in circumstances such as:
  - Lack of access Failure to allow access to any and all site locations will result in a denied site visit
  - Ineligible site location Sites located at P.O. boxes or other ineligible locations will be denied
  - Inaccurate or untimely enrollment documents example: failure to disclose all owners, managers, board members, and parties with 5% or more of controlling interest
  - Lack of required bonds and/or insurance

<del>1</del>/19/2024 85

## What does SIRS Investigation Unit do with Investigations?

- No action
- Recover overpayments
- Settlement agreements / payment plans
- Refer to other state agencies, MCOs, or DHS divisions
- Refer to Law Enforcement
  - MN Attorney General's Office (MFCU)
  - Federal OIG
- Terminate or Suspend a provider
- Payment Withhold

## **How to Contact MHCP**

## Questions or inquiries?

- ➤ MHCP Provider Call Center: 651-431-2700 or 1-800-366-5411 for questions about MHCP coverage policies and billing procedures.
- ➤ MHCP Members and applicants who have questions should call the MHCP Member Help Desk at 651-431-2670 or 1-800-657-3739.
- **➤** Report Fraud Waste and Abuse:

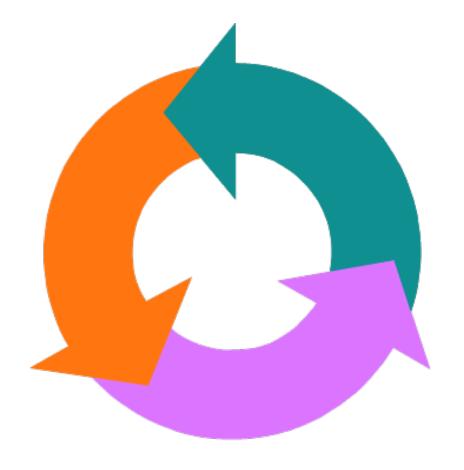
Phone: 651-431-2650

Fax: 651-431-7569

**Email: DHS.SIRS@state.mn.us** 

# **Required Documentation**

- Diagnostic Assessment (DA)
- Functional Assessment (FA)
  - Level of Care Assessment (\*if needed)
- Individual Treatment Plan (ITP)
- Progress Notes & Written
- Review of Progress



## **Order of Services**

## $DA \rightarrow FA \rightarrow ITP \rightarrow BLSS$

- 1. Diagnostic Assessment
- 2. Functional Assessment
  - 2a. Level of Care Assessment (if necessary)
- 3. Individual Treatment Plan
- 4. Basic Living & Social Skills (BLSS)

# **Service Requirements**

Service	Updates	Timeline	Billable Units
DA	When necessary	-	Not an ARMHS service
FA	Minimum every 6 months	w/in first 30 days	6 sessions/ calendar year
ITP	Minimum every 6 months	w/in first 30 days	4 sessions/ calendar year
BLSS	-	-	300 hours/ calendar year
Community Intervention	-	-	Max. 10/month 72 units/year
Medication Education	-	-	26 hours/ calendar year

Documentation is 'complete' when approved by Treatment Supervisor, and staff as applicable

## **Diagnostic Assessment (DA)**

- MH Outpatient Service. NOT an ARMHS service
- Establishes the MH Diagnosis and medical necessity
- A written evaluation, which includes an 1:1 interview with the individual & conducted by a qualified Mental Health Professional or clinical trainee under supervision.
- Must have a Recommendation/referral for ARMHS or a level of care assessment
- Follows criteria as defined MHCP Provider Manual / 2451.10

## **Diagnostic Assessment (DA)**

- A new DA or an UPDATE (if existing client) is required when:
  - Client requires a higher level of care
  - If client needs additional MH services and does not meet criteria for a brief assessment
  - When clients MH condition has changed markedly since most recent DA
  - When client's current condition does not match current diagnosis
  - Upon client's request

## Diagnostic Assessment (DA) Update

- Exiting Clients already engaged in services w/a prior assessment:
  - Provider must complete a written update including the following:
    - All new or changed info about the client
    - Removal of outdated or inaccurate information
    - Update what has not significantly changed
    - Documented discussion w/client about changes in life situation, functioning, presenting problems, and progress towards achieving treatment goals since last DA was completed.

## Functional Assessment (FA) - 2451.10.9

- Further establishes Eligibility for ARMHS, after the DA
- Assesses <u>current status & functioning</u> of a person from their perspective
- Describes client's perception of the mental health symptom(s) they experience and the <u>impact to their functioning</u>
- Details any strengths and resources of the client
- Info gathered from: the client, natural supports, other service providers and the most current DA

## (FA) – cont...

- Documenting client voice & participation vs. staff opinion and judgement
  - Client reports, states, endorses, etc...
- Avoid writing anything we wouldn't be comfortable with our client reading
- Updates (Every 6 mos, <u>or</u> when significant functional changes)— Review with client and update
  - All areas w/a previous functional impairment must be updated
  - Avoid "no change"

## (FA) cont...

How does person view/describe symptoms/behaviors? Do the symptoms/behaviors of the mental illness interfere with/impact client's life? If so how? When?

- Current Status: "Just the facts" about the individual. What information do we have about "what is" and "what is not"
- Functioning: Should contain a detailed description of how the consumer functions in each domain. It includes consumer's strengths as well as functional impairments and deficits.

## Level of Care Assessment (LOC) - 2451.02.19

- Used to determine the resource intensity needs of individuals who receive adult mental health services.
- To be eligible for ARMHS, the DA must have ARMHS as a recommended/referred service, OR
- DA amended to refer/recommend ARMHS, OR
- ARMHS qualified staff perform a LOC under supervision of a treatment supervisor

## **Level of Care Assessment**

- Level Of Care Provider Manual Page
- Acceptable assessment tools:
  - LOCUS (if provider has arrangement w/copyright holder)
- For ARMHS, only necessary IF a level or care/necessity of care is missing
  from a clients current DA, OR, when a clients functioning has significantly
  changed and a new/updated FA is required.
- Not required during an updated FA, IF level of care/necessity of care is documented on clients current DA.

## **Individual Treatment Plan (ITP) requirements—** 2451.10 subd 7-8

- Completed after DA & FA, and before providing services
- Person-Centered/culturally appropriate: include family/supports
- Treatment goals
- Measurable treatment objectives
- Schedule for accomplishing goals and objectives (Dates/timeframes for achieving)

## **Individual Treatment Plan (ITP) requirements—** 2451.10 subd 7-8

- Treatment strategies (i.e. interventions)
- Individuals responsible for providing services and supports
- Participants involved in treatment planning
- Reviewed/updated every 180 days w/progress, new goals and objectives or if no progress the new approach to treatment
- Clients approval of ITP
  - If client disagrees w/ITP document reasons why

## **ITP Best Practices**

- Recovery Vision: reflects persons aspirations regarding their life in their own words
- Clients strengths and resources
- Referrals to be pursued (if applicable)
- Identified skills or skill sets to be learned, mastered or generalized
- Where a majority of services will take place (office, home, public)
- Planned frequency of sessions

## **ITP Best Practices cont...**

- Goals that are concrete and measurable
- Goals clearly related to the clients' mental health symptoms/functioning deficits
- A well written goal will answer Why?/In order to accomplish what?
- Objectives should always be achievable w/in the timeframe of the ITP (max 6 months)

## **SMART GOALS & OBJECTIVES**

- S Specific: define the goal with specific and clear language. Who is involved? What do they/I want to accomplish? Why are they/I doing this? (Outcome)
- Measurable: can you track progress and measure the outcome? How will you/I know the goal has been accomplished?
- A Achievable/Attainable: does client believe the goals can be accomplished? Is the goal realistic?
- Relevant: Is the goal directly linked to mental health symptoms/functioning deficits from mental health symptoms? Will this goal move them forward?
- Time Limited: Is the goal specific to when this will be achieved? i.e I will accomplish this by Dec. 15, 2024.

# **SMART GOALS & OBJECTIVES (continued)**

- Same SMART principles apply for objectives
- Timeframes for objectives should be realistic and achievable
  - If a client can accomplish an objective in 1 month, write it up that way!
  - We want the individuals we serve to be making tangible progress. This gives an opportunity for positive reinforcement and encouragement.
- BEST PRACTICE: Objectives should include a baseline and a target measurement

## **ITP cont...Treatment Strategies**

- Rehabilitative Interventions: What will the ARMHS staff do?
  - What value is the ARMHS staff adding in helping the client achieve their objectives and goals?
  - What methods will staff use to help client?

# **ARMHS Strategies/Interventions**

- Crisis Assistance
- Relapse Prevention
- Budgeting
- Cooking and Nutrition
- Medication Monitoring
- MH Symptom Management
- Community Resource
   Management/Utilization
- Social Skills

- Communication Skills
- Coping Strategies/Techniques
- Problem Solving
- Role-Playing
- Modeling
- Cognitive Restructuring
- Relaxation Techniques
- Parenting Skills

## **Progress/Encounter Notes**

- Formal documentation that summarizes person's progress and barriers.
- Supports the submitted MA service claim
- Developed & written with the person **concurrently** by the end of each service session.
- Should follow GIRPS
- Must meet Mental Health <u>provider travel documentation</u> requirements

#### **Progress/Encounter note requirements**

- •Every encounter requires a progress/encounter note
- •All progress/encounter notes have the same requirements:
  - Type of service
  - Date of service
  - Start and stop time of service
  - Location of service
  - Continued next slide...

#### Progress/Encounter note requirements cont.

- •Scope of service:
  - Targeted goal(s) and objective(s)
  - Intervention(s) staff provided and methods staff used
  - Client response to intervention(s)
  - •Staff's plan to take future action, including changes in treatment if intervention(s) ineffective

#### Progress/Encounter note requirements cont.

- •Signature, printed name and credentials of staff person who provided service
- •Travel documentation required by <u>256B.0625</u> (if applicable)
- •Significant observations by staff (risk factors, emergency interventions, consultations or referrals, changes in mental or physical symptoms)

#### **GIRPS**

- G Goal and Objective targeted in session (can be multiple)
- I Rehabilitative Interventions delivered in session and specifically how they were delivered (methods used)
- R Client Response, specific to the interventions utilized in session –
   was their any progress toward or barriers to progress?
- **P** What is the **Plan** for next session What do client and staff want to work on next session?
- S Were there any Significant Observations/Changes in symptoms

#### **Group Progress/Encounter Notes**

- The Goal(s) and Objective(s) targeted in group sessions must be identified on the Progress Note(PN).
- Interventions: Elaborate on the **specific interventions** and **methods utilized** with the group.
- Client Responses: How did **the individual client respond** to the interventions provided? More than "client was an active participant in session today" is required. Was progress made? Were barriers evident? etc.

#### **Community Intervention Progress/Encounter note**

- Goal: Goal of the CI (Who was contacted and why? What is the problem/situation we're reacting to on behalf of our client?)
- Intervention: What did we disclose to the other party? What was discussed?)
- Response: How did the other party respond?
- Plan: What is the plan for helping the client?
- Significant Observations: Was any information shared that could benefit/impact how to best serve the client?
- Reminder...Any CI done w/out the client present requires a Release of Information (ROI)

#### **Transition to Community Living Progress/Encounter Note**

- Goals and Objectives from the Treatment Plan of the higher level of care the client is currently with
- Interventions specific to objectives
- Client Responses
- Significant Observations

#### **Medication Education Progress/Encounter Note**

- Update Treatment Plan to add Goals/Objectives specific to Medication Education
  - i.e. G: Client or "I" will adhere to medications by "specific date"
    - O: Client or "I" will learn about side effects and symptoms associated with my medications to ease my mind about taking them
- Goals and Objectives unique to this service
- Interventions specific to objectives
- Client Responses
- Significant Observations

#### Who Can Do What?

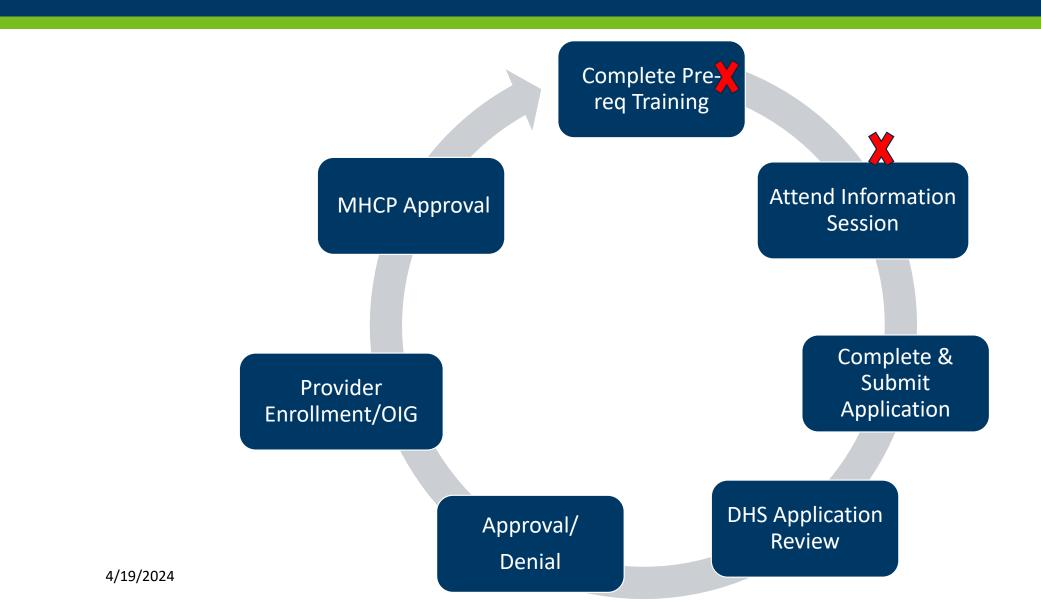
- MH Practitioners, Clinical Trainees, Certified Rehab Specialists (CPRP) and Certified Peer Specialist II <u>CAN</u>
  - Develop FA's, LOC, ITP's, & Progress Notes under the clinical supervision of the MHP
- MH Rehabilitation Workers and CPS I CANNOT
  - Develop a FA, LOC or ITP's.
  - The MH Rehab Worker and CPS I <u>CAN</u> implement ITP interventions & develop a progress/encounter Note.

#### **Common Mistakes**

- NOT Using a Person-centered in approach
- NOT Used as a process to engage client
- NOT Supporting a recovery process
- NOT Rechecked for accuracy and clarification
- NOT linking the medically necessity throughout
- **NOT** Tying functioning to the symptoms of mental illness
- NOT Summarizing or condensing information to salient points that support planning & rehabilitation service delivery

## The Certification Process

#### **ARMHS Certification Process**



#### The ARMHS Certification Process

- 1. Designate 1 staff person/point of contact from your agency to submit your application and complete the <a href="webform">webform</a> (link on ARMHS webpage as well)
  - a. Once the webform is submitted and approved, DHS will send a link to submit your ARMHS application on AGILE Apps
  - b. Review DHS 7181 for application requirements
- 2. Application review up to **90-120** days for **each review** 
  - a. If improvements are required, the 90-120 day review window starts over
- 3. Certification Approval
- 4. Complete MHCP Provider Enrollment Process (Pay fee, submit required doc's, onsite screening visit)

#### **ARMHS Process Timelines**

- Application Reviewed w/in ~90-120 days of receipt
- Resubmissions Due from provider in no more than 90 days
  - Reviewed w/in ~3-4 months
- Certification Approval
   – Submit required documentation to MHCP
- MHCP ~30 days for Doc Review. ~60 days for Site Visit
- Credentialing with MCO's Can take months, up to a year

# Resources

4/19/2024

123

### Resources (list)

- ARMHS Webpage
- MHCP ARMHS Provider Manual
- MHCP <u>Diagnostic Assessment</u>
   Provider Manual
- Statutes: <u>256B.0623</u>, <u>245I.01</u> <u>245I.12</u>
- DHS TrainLink Training Website
- MHCP Provider Enrollment webpage

- MHIS Technical Assistance webpage
- MHCP Provider Manual Basics
- ARMHS Application Submission & Requirements

#### **EDOCS**

Access Edocs here: <a href="https://mn.gov/dhs/general-public/publications-forms-resources/edocs/">https://mn.gov/dhs/general-public/publications-forms-resources/edocs/</a>

- Troubleshooting tips:
  - Any .pdf you want to access must be saved to your computer before you can use it
  - Use Chrome or Edge

4/19/2024 125

#### FINAL REMINDER!

- If you're calling in by phone, you MUST EMAIL
   <a href="mailto:dhs.armhs@state.mn.us">dhs.armhs@state.mn.us</a> with your FULL NAME as registered in
   <a href="mailto:Trainlink">Trainlink</a> along with the ph# you called in from.
- Your name entered in the meeting today must MATCH EXACTLY what is in Trainlink
- If these steps are not followed you risk not receiving credit for attending today.
- Attendance is required for the full session for credit.

## Presentation Questions...



# Thank you!

For more information or questions

Email: <a href="mailto:dhs.armhs@state.mn.us">dhs.armhs@state.mn.us</a> MHCP

Call Center: 651-431-2700

4/19/2024 128