

1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration

The state should complete this Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page for all Monitoring Reports. The content of this table should stay consistent over time.

State	<i>Minnesota</i>
Demonstration name	<i>Minnesota Substance Use Disorder System Reform.</i>
Approval date for demonstration	<i>06/28/2019</i>
Approval period for SUD	<i>07/01/2019 – 06/30/2024</i>
Approval date for SUD, if different from above	<i>06/28/2019</i>
Implementation date of SUD, if different from above	
SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives	<p><i>1. Increased rates of identification, initiation, and engagement in treatment for SUD.</i></p> <p><i>2. Increased adherence to and retention in treatment.</i></p> <p><i>3. Fewer readmissions to the same or higher levels of care where the readmission is preventable or medically inappropriate.</i></p> <p><i>Minnesota Substance Use Disorder System Reform 1115 Demonstration</i></p> <p><i>Approval Period: July 1, 2019 – June 30, 2024 Page 6 of 53</i></p> <p><i>4. Improved access to care for physical health conditions among Medicaid beneficiaries.</i></p> <p><i>5. To reduce the number of opioid related overdoses and deaths within the state of Minnesota.</i></p> <p><i>6. To allow for patients to receive a wider array of evidence based services that are focused on a holistic approach to treatment.</i></p> <p><i>7. Reduced utilization of emergency departments and inpatient hospital settings for treatment where the utilization is preventable or medically inappropriate through improved access to other continuum of care services.</i></p> <p><i>8. Utilizing its CCBHC providers to integrate community mental health care providers into an ASAM-based provider referral network with SUD providers or other health care professionals as needed.</i></p>

2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic

Summary of proposed modification	Related metric (if any)	Justification for modification
1. Assessment of Need and Qualification for SUD Services		
<p><i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i></p> <p><i>EXAMPLE</i></p> <p><i>Additional topic of interest</i></p>		
[Add rows as needed]		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)		
<p><i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i></p>		
[Add rows as needed]		

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3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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8. SUD Health Information Technology (Health IT)		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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9. Other SUD-related Metrics		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
[Add rows as needed]		
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10. Budget Neutrality		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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11. SUD-Related Demonstration Operations and Policy		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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12. SUD Demonstration Evaluation Update		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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13. Other Demonstration Reporting		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
[Add rows as needed]		
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14. Notable State Achievements and/or Innovations		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
[Add rows as needed]		

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3. Acknowledgement of Budget Neutrality Reporting-

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

4. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after protocol approval.

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval:

5. Reporting SUD Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations.

Table A. [STATE] reporting in quarterly and annual monitoring reports

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
July 1, 2019 – September 30, 2019	DY1 Q1	N/A	
October 1, 2019 – December 31, 2019	DY1 Q2	N/A	
January 1, 2020 – March 31, 2020	DY1 Q3	N/A	
April 1, 2020 – June 30, 2020	DY1 Q4	9/29/2020	<ul style="list-style-type: none"> • Narrative information: SUD DY1 Q4 • Compiled annual report delivered in compliance with STC 29 due 90 days after the end of each demonstration year.
July 1, 2020 – September 30, 2020	DY2 Q1	11/30/2020	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q1
October 1, 2020 – December 31, 2020	DY2 Q2	2/28/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q2 • Other monthly and quarterly metrics: SUD DY2 Q1 (assuming approval is received before November 30, 2020) • Retrospective reporting on metrics 2, 3, 6-8, 10-12, 23 and 24 (assuming approval is received before November 30, 2020) • Reporting on annual metrics that are established quality measures: SUD DY1 (calculated for CY 2019 assuming approval is received before November 30, 2020) • Reporting on other annual metrics: SUD DY1 (calculated for DY1) (assuming approval is received before November 30, 2020)
January 1, 2021 – March 31, 2021	DY2 Q3	5/30/2021	<ul style="list-style-type: none"> • Narrative information: SUD DY2 Q3 • Other monthly and quarterly metrics: SUD DY2 Q2 (assuming approval is received after November 30, 2020) • Retrospective reporting on metrics 2, 3, 6-8, 10-12, 23 and 24 (assuming approval is received after November 30, 2020) • Reporting on annual metrics that are established quality measures: SUD DY1 (calculated for CY 2019 assuming approval is received after November 30, 2020)

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
			<ul style="list-style-type: none"> Reporting on other annual metrics: SUD DY1 (calculated for DY1) (assuming approval is received after November 30, 2020)
April 1, 2021 – June 30, 2021	DY2 Q4	9/29/2021	<ul style="list-style-type: none"> Narrative information: SUD DY2 Q4 Other monthly and quarterly metrics: SUD DY2 Q3 Annual metrics that are established quality measures: SUD DY2 (calculated for CY 2020) Compiled annual report delivered in compliance with STC 29 due 90 days after the end of each demonstration year.
July 1, 2021 – September 30, 2021	DY3 Q1	11/30/2021	<ul style="list-style-type: none"> Narrative information: SUD DY3 Q1 Other monthly and quarterly metrics: SUD DY2 Q4 Other annual metrics: SUD DY2 (calculated for DY2)
October 1, 2021 – December 31, 2021	DY3 Q2	2/28/2022	<ul style="list-style-type: none"> Narrative information: SUD DY3 Q2 Other monthly and quarterly metrics: SUD DY3 Q1
January 1, 2022 – March 31, 2022	DY3 Q3	5/30/2022	<ul style="list-style-type: none"> Narrative information: SUD DY3 Q3 Other monthly and quarterly metrics: SUD DY3 Q2
April 1, 2022 – June 30, 2022	DY3 Q4	9/29/2022	<ul style="list-style-type: none"> Narrative information: SUD DY3 Q4 Other monthly and quarterly metrics: SUD DY3 Q3 Annual metrics that are established quality measures: SUD DY3 (calculated for CY 2021) Compiled annual report delivered in compliance with STC 29 due 90 days after the end of each demonstration year.

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
July 1, 2022 – September 30, 2022	DY4 Q1	11/30/2022	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q1 • Other monthly and quarterly metrics: SUD DY3 Q4 • Other annual metrics: SUD DY3 (calculated for DY3)
October 1, 2022 – December 31, 2022	DY4 Q2	2/28/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q2 • Other monthly and quarterly metrics: SUD DY4 Q1 • Phased in reporting on metric #9
January 1, 2023 – March 31, 2023	DY4 Q3	5/30/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q3 • Other monthly and quarterly metrics: SUD DY4 Q2
April 1, 2023 – June 30, 2023	DY4 Q4	9/29/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY4 Q4 • Other monthly and quarterly metrics: SUD DY4 Q3 • Annual metrics that are established quality measures: SUD DY4 (calculated for CY 2022) • Compiled annual report delivered in compliance with STC 29 due 90 days after the end of each demonstration year.
July 1, 2023 – September 30, 2023	DY5 Q1	11/30/2023	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q1 • Other monthly and quarterly metrics: SUD DY4 Q4 • Other annual metrics: SUD DY4 (calculated for DY4)
October 1, 2023 – December 31, 2023	DY5 Q2	2/28/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q2 • Other monthly and quarterly metrics: SUD DY5 Q1

Dates of reporting quarter	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
January 1, 2024 – March 31, 2024	DY5 Q3	5/30/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q3 • Other monthly and quarterly metrics: SUD DY5 Q2
April 1, 2024 – June 30, 2024	DY5 Q4	9/29/2024	<ul style="list-style-type: none"> • Narrative information: SUD DY5 Q4 • Other monthly and quarterly metrics: SUD DY5 Q3 • Annual metrics that are established quality measures: SUD DY4 (calculated for CY 2023) • Compiled annual report delivered in compliance with STC 29 due 90 days after the end of each demonstration year.