

**Minnesota Prepaid Medical Assistance Project Plus (PMAP+)
§1115 Waiver No. 11-W-0039/5**

**Demonstration Year 26
Annual Report
July 1, 2020 through June 30, 2021**

Submitted to:

U.S. Department of Health & Human Services
Centers for Medicare & Medicaid Services
Center for Medicaid and CHIP Services

Submitted by:

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Department of Human Services

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As required by the terms and conditions approving §1115(a) waiver No. 11 -W-00039/5, entitled "Minnesota Prepaid Medical Assistance Project Plus (PMAP+)," this document is submitted to the Centers for Medicare & Medicaid Services (CMS) of the U.S. Department of Health and Human Services as the annual report for the period of July 1, 2020 through June 30, 2021. This document provides an update on the status of the implementation of the PMAP + Program.

Introduction

Background

The PMAP+ Section 1115 Waiver has been in place for over 30 years, primarily as the federal authority for the MinnesotaCare program, which provided comprehensive health care coverage through Medicaid funding for people with incomes in excess of the standards in the Medical Assistance program. On January 1, 2015, MinnesotaCare was converted to a basic health plan, under section 1331 of the Affordable Care Act. As a basic health plan, MinnesotaCare is no longer funded through Medicaid. Instead, the state receives federal payments based on the premium tax credits and cost-sharing subsidies that would have been available through the health insurance exchange.

The PMAP+ waiver also provided the State with longstanding federal authority to enroll certain populations eligible for Medical Assistance into managed care who otherwise would have been exempt from managed care under the Social Security Act. In December of 2014, CMS notified the Department of Human Services (DHS) that it would need to transition this portion of its PMAP+ waiver authority to a section 1915(b) waiver. Therefore, on October 30, 2015, DHS submitted a request to transfer this authority to its Minnesota Senior Care Plus section 1915(b) waiver.

During this process, DHS determined that continued waiver authority was unnecessary for all of the groups historically included under the PMAP+ waiver. Because of the state's updated eligibility and enrollment processes for Medical Assistance, some of these populations are no longer mandatorily enrolled into managed care. Instead, they can enroll in managed care on a voluntary or an optional basis.

Therefore, the amendment to the MSC+ 1915(b) waiver only sought to continue federal waiver authority to require the following groups to enroll in managed care:

- American Indians, as defined in 25 U.S.C. 1603(c), who otherwise would not be mandatorily enrolled in managed care;
- Children under age 21 who are in state-subsidized foster care or other out-of-home placement; and
- Children under age 21 who are receiving foster care under Title IV-E.

CMS approved the amendment to the MSC+ waiver on December 22, 2015 with an effective date of January 1, 2016.

PMAP+ Waiver Renewal

The PMAP+ waiver continues to be necessary to continue certain elements of Minnesota's Medical Assistance program. On February 11, 2016, CMS approved DHS's request to renew the PMAP+ waiver for the period of January 1, 2016 through December 31, 2020.

The current waiver provides continued federal authority to:

- Cover children as “infants” under Medical Assistance who are 12 to 23 months old with income eligibility above 275 percent and at or below 283 percent of the federal poverty level (FPL) (referred to herein as “MA One Year Olds”);
- Waive the federal requirement to redetermine the basis of Medical Assistance eligibility for caretaker adults with incomes at or below 133 percent of the FPL who live with children age 18 who are not full-time secondary school students;
- Provide Medical Assistance benefits to pregnant women during the period of presumptive eligibility; and
- Fund graduate medical education through the Medical Education Research Costs (MERC) trust fund.

On June 29, 2020 a request to renew the PMAP+ waiver for an additional five year period was submitted to CMS. On December 21, 2020, CMS approved a temporary extension of the PMAP+ waiver through December 31, 2021 in order to allow the state and CMS to continue working together on approval of the extension of this demonstration.

Enrollment Information

Please refer to Attachment A for PMAP+ enrollment activity for the period July 1, 2020 through June 30, 2021.

Outreach and Marketing

Education and Enrollment

DHS uses a common streamlined application for Medical Assistance, MinnesotaCare and MNsure coverage. Medical Assistance and MinnesotaCare applicants have the option of applying online through the [MNsure website](#) or by mail with a paper application.

The [MNsure website](#) provides information on Minnesota’s health care programs. The site is designed to assist individuals with determining their eligibility status for insurance affordability programs in Minnesota. The site provides a description of coverage options through qualified health plans, Medical Assistance and MinnesotaCare. It also provides information about the application, enrollment and appeal processes for these coverage options.

In-person assisters and navigators are also available to assist individuals with the eligibility and enrollment process through the MNsure website. MNsure has a navigator grantee outreach program that does statewide activities to help individuals with enrollment.

Applicants and enrollees who receive Medical Assistance through fee for service can call the DHS [Member Help Desk](#) for assistance with questions about eligibility, information on coverage options, status of claims, spenddowns, prior authorizations, reporting changes that may affect program eligibility, and other health care program information.

PMAP Purchasing

Coverage for a large portion of enrollees in Medical Assistance is purchased on a prepaid capitated basis. The remaining recipients receive services from enrolled providers who are paid on a fee-for-service basis. Most of the fee-for-service recipients are individuals with disabilities. DHS contracts with MCOs in each of Minnesota's 87 counties.

Additional Information Regarding Managed Care Plans the State Contracts With

The following information regarding the managed care plans the State contracts with to provide PMAP+ services is provided in accordance with item 28 of the special terms and conditions for the PMAP+ §1115 waiver.

28(a)(i) A description of the process for managed care capitation rate setting.

Minnesota uses both state-set rates and competitive bidding to arrive at appropriate rate ranges for the Families and Children contract. Rates continue to reflect the influence of both previous years bidding results and subsequent adjustments. For all areas, the actuaries consider factors including but not limited to health care inflationary trends, morbidity (changing age/illness of the population), and changes in benefits. The State then sets the rates using emerging MCO encounter, financial and other information at a level that meets budget projections and is expected to produce appropriate access and quality of care. The PMAP capitation rates are risk adjusted. The methodology for developing rate ranges was provided to all MCOs. MCOs had opportunity to review and respond to the methodology.

28(a)(ii) The number of contract submissions, the names of the plans, and a summary of the financial information, including detailed information on administrative expenses, premium revenues, provider payments and reimbursement rates, contributions to reserves, service costs and utilization, and capitation rate-setting and risk adjustments methods submitted by each bidder.

A graphic representation of the MCO service areas and information about the number of plans under contract in each county for PMAP and Minnesota Care can be found at [Health Plan Service Areas](#).

28(a)(iii) Annual managed care plan financial audit report summary.

Attachment B contains a summary of the MCO audited financial statements for 2020, by public program product (PMAP, MinnesotaCare), including a comparison of medical and administrative expenses to premium revenue.

28(a)(iv) A description of any corrective action plans required of the managed care plans.

The Annual Technical Report (ATR) is an evaluation of MCO compliance with federal and state quality, timeliness and access to care requirements. The report is published on the DHS site at [Managed Care Reporting](#). The report summarizes the results of the independent external quality review of Minnesota's publicly funded managed care programs. Chapter 3 of the ATR presents MCO-specific performance, including strengths, opportunities for improvement and

recommendations identified during the external quality review process. Chapter 4 of the ATR presents improvement recommendations from the previous year’s external quality review and includes a discussion on how effectively each MCO addressed the recommendations. The Minnesota Department of Health’s managed care licensing examination and the on-site triennial compliance assessment is used by the external quality review organization along with information from other sources to generate the ATR. The most recent results from the managed care licensing examinations and the triennial compliance assessment can be found on the Minnesota Department of Health web site at [Quality Assurance and Performance Measurement](#).

PMAP Purchasing for American Indian Recipients

The Minnesota Legislature enacted a number of provisions, subsequently authorized by CMS, to address issues related to tribal sovereignty that prevent Indian Health Service (IHS) facilities from entering into contracts with MCOs, and other provisions that have posed obstacles to enrolling American Indian recipients who live on reservations into PMAP. The legislation allows American Indian beneficiaries who are enrolled in managed care to receive covered services under Medical Assistance through an IHS or other tribal provider (commonly referred to as “638s”) whether or not these providers are in the MCO’s network.

Contracts with MCOs include provisions designed to facilitate access to providers for American Indian recipients, including direct access to IHS and 638 providers. IHS and 638 providers may refer recipients to MCO-network specialists without requiring the recipient to first see a primary care provider. DHS has implemented the PMAP+ out-of-network purchasing model for American Indian recipients of Medical Assistance who are not residents of reservations.

Summary Data. The following is a summary of the number of people identified as American Indians who were enrolled in Medical Assistance during calendar year 2020.

**Medical Assistance Enrollees who are American Indian
Calendar Year 2020**

Population	Enrollees
Families and Children	36,087
Disabled	4,438
Elderly	1,553
Adults with no Children	12,634
Total	54,712

Tribal Health Workgroup. The quarterly Tribal Health Workgroup was formed to address the need for a regular forum for formal consultation between tribes and state employees. The workgroup meets on a quarterly basis and is regularly attended by Tribal Health Directors, Tribal Human Services Directors, and representatives from the Indian Health Service, the Minnesota Department of Health and the Minnesota Department of Human Services. During the period of July 1, 2020 through June 30, 2021 (PMAP demonstration year 26) the work group met on

August 20, 2020, November 19, 2020, February 18, 2021, and May 20, 2021. The agendas for each of these meetings are provided at Attachment C.

Operational and Policy Developments

There were no significant program developments or operational issues for populations covered under this waiver during the demonstration year ending June 30, 2021.

Budget Neutrality Developments

Demonstration expenditures are reported quarterly using Form CMS-64, 64.9 and 64.10. DHS also provides quarterly budget neutrality status updates, including baseline and member months data, using the budget neutrality monitoring tool provided through the performance metrics database and analytics (PMDA) system.

Consumer Issues

County Advocates

Under Minnesota law, county advocates are required to assist managed care enrollees in each county. The advocates assist enrollees with resolving issues related to their MCO. When unable to resolve issues informally, the county advocates educate enrollees about their rights under the grievance system. County advocates provide assistance in filing grievances through both formal and informal processes, and are available to assist in the appeal or state fair hearing process. State ombudsmen and county advocates meet regularly to identify issues that arise and to cooperate in resolving problematic cases.

Grievance System

The grievance system is available to managed care enrollees who have problems accessing necessary care, billing issues or quality of care issues. Enrollees may file a grievance or an appeal with the MCO and may file a state fair hearing through DHS. A county advocate or a state managed care ombudsman may assist managed care enrollees with grievances, appeals, and state fair hearings. The provider or health plan must respond directly to county advocates and the state ombudsman regarding service delivery and must be accountable to the state regarding contracts with Medical Assistance funds.

Please refer to Attachment D for a summary of state fair hearings closed in quarters one through four of PMAP+ demonstration year 26.

Post Award Public Forum on PMAP+ Waiver

In accordance with the PMAP+ Special Terms and Conditions (STCs), paragraph 16, DHS holds public forums to provide the public with an opportunity to comment on the progress of the PMAP+ Demonstration.

DHS held a post award public forum on September 30, 2020 to provide the public with an opportunity to comment on the progress of the PMAP+ demonstration. The forum was held

virtually via teleconference due to the social distancing requirements presented by COVID-19. A notice was published on the DHS Public Participation web site on August 28, 2020 informing the public of the date, time and location of the forum. There were no members of the public in attendance at this forum. The next public forum is planned for fall of 2021.

Quality Assurance and Monitoring

Comprehensive Quality Strategy

Minnesota's quality strategy is an overarching comprehensive and dynamic continuous quality improvement strategy integrating all aspects of the quality improvement programs, processes and requirements across Minnesota's Medicaid managed care program. Minnesota has incorporated into its quality strategy measures and processes related to the programs affected by this waiver. The current version of the quality strategy can be accessed on the DHS website at [Managed Care Reporting](#).

The quality strategy is developed in accordance with 42 C.F.R. § 438.340, which requires the state Medicaid agency to have a written strategy for assessing and improving the quality of health care services offered by MCOs.

The quality strategy assesses the quality and appropriateness of care and services provided by MCOs for all managed care program enrollees. It incorporates elements of current DHS/MCO contract requirements, State licensing requirements (Minnesota Statutes, Chapters 62D, 62M, 62Q), and federal Medicaid managed care regulations (42 C.F.R. Part 438). The combination of these requirements (contract and licensing) and standards (quality assurance and performance improvement) is the core of DHS' responsibility to ensure the delivery of quality care and services in managed health care programs. DHS assesses the quality and appropriateness of health care services, monitors and evaluates the MCO's compliance with state and federal Medicaid and Medicare requirements and, when necessary, imposes corrective actions and appropriate sanctions if MCOs are not in compliance with these requirements and standards. The outcome of DHS' quality improvement activities is included in the Annual Technical Report by a contracted external quality review organization.

The quality strategy will evolve over time as the external quality review activities continue. DHS intends to review the effectiveness of the quality strategy.

MCO Internal Quality Improvement System

MCOs are required to have an internal quality improvement system that meets state and federal standards set forth in the contract between the MCO and DHS. These standards are consistent with those required under state HMO licensure requirements. The Minnesota Department of Health conducts triennial audits of the HMO licensing requirements.

External Review Process

Each year the state Medicaid agency must conduct an external quality review of managed care services. The purpose of the external quality review is to produce the Annual Technical Report (ATR) that includes:

- Determination of compliance with federal and state requirements,
- Validation of performance measures, and performance improvement projects, and
- An assessment of the quality, access, and timeliness of health care services provided under managed care.

Where there is a finding that a requirement is not met, the managed care organization (MCO) is expected to take corrective action to come into compliance with the requirement.

The external quality review organization (EQRO) conducts an overall review of Minnesota's managed care system for Minnesota Health Care Programs enrollees. Part of the EQRO's charge is to identify areas of strength and weakness and to make recommendations for change. Where the ATR describes areas of weakness or makes recommendations, the MCO is expected to consider the information, determine how the issue applies to its situation and respond appropriately. The EQRO follows up on the MCO's response to the areas identified in the past year's ATR. The ATR is shared with all MCOs under contract and other interested parties and is available upon request. The ATR is published on the DHS website at [Managed Care Reporting](#).

Consumer Satisfaction

DHS sponsors an annual satisfaction survey of public program managed care enrollees using the Consumer Assessment of Health Plans Survey (CAHPS®) instrument and methodology to assess and compare the satisfaction of enrollees with services and care provided by MCOs. DHS contracts with a certified CAHPS vendor to administer and analyze the survey. Survey results are published on the DHS website at [Managed Care Reporting](#).

Demonstration Evaluation

The evaluation plan for the PMAP+ waiver period from January 1, 2015 through December 31, 2018 was initially submitted with Minnesota's PMAP+ waiver extension request in December of 2014. In May of 2016 the evaluation plan was revised to reflect the approved terms of our waiver with an end date of 2020 instead of the previous draft timeline which ended in 2018. The evaluation plan was updated in November 2016, and again in June 2017, to address CMS comments. In August 2017, CMS approved the PMAP+ evaluation plan. The PMAP+ STCs were updated to incorporate the approved evaluation plan as Attachment B of the STCs.

State Contact

The state contact person for this waiver is Jan Kooistra. She can be reached by telephone at (651) 431-2118, or email at jan.kooistra@state.mn.us.

Attachment A

Please refer to the table below for PMAP+ enrollment activity for the period July 1, 2020 through September 30, 2020.

Demonstration Populations (as hard coded in the CMS 64)	Enrollees at close of quarter September 30, 2020	Current Enrollees (as of data pull on November 3, 2020)	Disenrolled in Current Quarter (July 1, 2020 through September 30, 2020)
MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	49	49	21
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	3,105	3,158	748

Pregnant Women in a Hospital Presumptive Eligibility Period

Eligibility Month	Eligibility Year	Unique Enrollees
July	2020	42
August	2020	33
September	2020	37

Please refer to the table below for PMAP+ enrollment activity for the period October 1, 2020 through December 31, 2020.

Demonstration Populations (as hard coded in the CMS 64)	Enrollees at close of quarter December 31, 2020	Current Enrollees (as of data pull on February 16, 2021)	Disenrolled in Current Quarter (October 1, 2020 through December 31, 2020)
MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	33	32	23
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	3,290	3,341	852

Pregnant Women in a Hospital Presumptive Eligibility Period

Eligibility Month	Eligibility Year	Unique Enrollees
October	2020	34
November	2020	27
December	2020	28

Please refer to the table below for PMAP+ enrollment activity for the period January 1, 2021 through March 31, 2021.

Demonstration Populations (as hard coded in the CMS 64)	Enrollees at close of quarter March 31, 2021	Current Enrollees (as of data pull on May 3, 2021)	Disenrolled in Current Quarter (January 1, 2021 through March 31, 2021)
MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	44	45	17
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	3,450	3,494	858

Pregnant Women in a Hospital Presumptive Eligibility Period

Eligibility Month	Eligibility Year	Unique Enrollees
January	2021	25
February	2021	20
March	2021	22

Please refer to the table below for PMAP+ enrollment activity for the period April 1, 2021 through June 30, 2021.

Demonstration Populations (as hard coded in the CMS 64)	Enrollees at close of quarter June 30, 2021	Current Enrollees (as of data pull on August 8, 2021)	Disenrolled in Current Quarter (April 1, 2021 through June 30, 2021)
MA One-Year-Olds with incomes above 275% FPL and at or below 283% FPL	45	34	
Medicaid Caretaker Adults with incomes at or below 133% FPL living with a child age 18	3,609	3,698	922

Pregnant Women in a Hospital Presumptive Eligibility Period

Eligibility Month	Eligibility Year	Unique Enrollees
April	2021	19
May	2021	17
June	2021	17

Attachment B
2020 Health Plan
Financial Summary+by
Product (in thousands
\$) Minnesota Public
Programs Only

	BluePlu s	HP	Itasca	Medic a	Henn Health	Prime West	SCHA	Ucar e	All Plans
PMAP									
Premium Revenues (line 8)	\$1,759,570	\$771,086	\$43,640	-\$984	\$203,753	\$184,144	\$103,510	\$1,373,809	\$4,438,528
Medical/Hospital Expenses (line 18)	\$1,574,875	\$696,014	\$36,695	-\$918	\$178,520	\$167,357	\$91,985	\$1,242,974	\$3,987,502
Administrative Expenses (lines 20-21)	\$192,848	\$61,296	\$3,766	-\$10	\$24,225	\$12,871	\$11,060	\$118,617	\$424,673
PDR change (line 22)	\$0	\$11,491	\$0	\$0	-\$4,200	\$0	\$0	-\$4,000	-\$19,691
Net Gain (loss) from operations (Line 24)	-\$8,153	\$25,267	\$3,179	-\$55	\$5,207	\$3,916	\$465	\$16,219	\$46,045
Net Investment gain (or loss) and other (line 27 & 29)	\$0	-\$713	\$11	\$0	\$381	\$216	\$0	\$13,575	\$13,470
Net Income (loss) before taxes (line 30)	-\$8,153	\$24,554	\$3,189	-\$55	\$5,588	\$4,132	\$465	\$29,794	\$59,514
Ratios:									
Medical Loss Ratio	89.5%	90.3%	84.1%	93.4%	87.6%	90.9%	88.9%	90.5%	89.8%
Administrative/Revenue	11.0%	7.9%	8.6%	1.0%	11.9%	7.0%	10.7%	8.6%	9.6%
Contribution to Reserves	-0.5%	3.3%	7.3%	5.6%	2.6%	2.1%	0.4%	1.2%	1.0%
UW Gain/Prem Revenue	-0.5%	1.8%	7.3%	5.6%	0.5%	2.1%	0.4%	0.9%	0.8%
MinnesotaCare									
Premium Revenues (line 8)	\$163,650	\$115,603	\$3,925	-\$70	\$10,570	\$17,402	\$10,062	\$167,280	\$488,422
Medical/Hospital Expenses (line 18)	\$140,117	\$102,388	\$3,531	-\$80	\$9,173	\$16,268	\$8,874	\$147,867	\$428,138
Administrative Expenses (lines 20-21)	\$21,717	\$9,107	\$337	-\$1	\$1,368	\$1,514	\$1,055	\$17,033	\$52,129
PDR change (line 22)	\$0	\$0	\$0	\$0	-\$300	\$0	\$0	\$0	-\$300
Net Gain (loss) from operations (Line 24)	\$1,816	\$4,108	\$57	\$11	\$329	-\$380	\$134	\$2,380	\$8,455
Net Investment gain (or loss) and other (line 27 & 29)	\$197	-\$393	\$1	\$0	\$20	\$20	\$0	\$2,546	\$2,390
Net Income (loss) before taxes (line 30)	\$2,012	\$3,715	\$58	\$11	\$349	-\$360	\$134	\$4,926	\$10,845
Ratios:									
Medical Loss Ratio	85.6%	88.6%	90.0%	114.5%	86.8%	93.5%	88.2%	88.4%	87.7%
Administrative/Revenue	13.3%	7.9%	8.6%	1.0%	12.9%	8.7%	10.5%	10.2%	10.7%
Contribution to Reserves	1.1%	3.6%	1.5%	-15.5%	3.1%	-2.2%	1.3%	1.4%	1.7%
UW Gain/Prem Revenue	1.1%	3.6%	1.5%	-15.5%	0.3%	-2.2%	1.3%	1.4%	1.7%
MSHO									
Premium Revenues (line 8)	\$335,411	\$174,407	\$15,375	\$466,098	\$0	\$66,635	\$54,250	\$564,484	\$1,676,660
Medical/Hospital Expenses (line 18)	\$293,018	\$146,676	\$14,830	\$402,963	\$0	\$58,772	\$50,814	\$489,182	\$1,456,255
Administrative Expenses (lines 20-21)	\$20,555	\$10,562	\$1,279	\$20,324	\$0	\$2,885	\$4,270	\$45,914	\$105,789
PDR change (line 22)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Gain (loss) from operations (Line 24)	\$21,837	\$17,170	-\$734	\$42,812	\$0	\$4,978	-\$834	\$29,388	\$114,616
Net Investment gain (or loss) and other (line 27 & 29)	\$12,563	\$846	\$4	\$5,582	\$0	\$78	\$92	\$744	\$19,908
Net Income (loss) before taxes (line 30)	\$34,400	\$18,015	-\$730	\$48,393	\$0	\$5,056	-\$741	\$30,132	\$134,525
Ratios:									
Medical Loss Ratio	87.4%	84.1%	96.5%	86.5%	0.0%	88.2%	93.7%	86.7%	86.9%
Administrative/Revenue	6.1%	6.1%	8.3%	4.4%	0.0%	4.3%	7.9%	8.1%	6.3%
Contribution to Reserves	6.5%	9.8%	-4.8%	9.2%	0.0%	7.5%	-1.5%	5.2%	6.8%
UW Gain/Prem Revenue	6.5%	9.8%	-4.8%	9.2%	0.0%	7.5%	-1.5%	5.2%	6.8%
MSC+									
Premium Revenues (line 8)	\$72,598	\$33,110	\$3,417	\$87,567	\$0	\$12,378	\$10,435	\$158,082	\$377,587
Medical/Hospital Expenses (line 18)	\$71,369	\$30,086	\$3,471	\$82,876	\$0	\$12,409	\$8,776	\$149,561	\$358,548
Administrative Expenses (lines 20-21)	\$7,039	\$2,725	\$276	\$4,973	\$0	\$922	\$848	\$12,353	\$29,136
PDR change (line 22)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Gain (loss) from operations (Line 24)	-\$5,809	\$299	-\$331	-\$282	\$0	-\$954	\$812	-\$3,832	-\$10,998
Net Investment gain (or loss) and other (line 27 & 29)	\$275	\$419	\$1	\$1,231	\$0	\$15	\$55	-\$1	\$1,995
Net Income (loss) before taxes (line 30)	-\$5,534	\$717	-\$330	\$950	\$0	-\$939	\$867	-\$3,833	-\$8,103
Ratios:									
Medical Loss Ratio	98.3%	90.9%	101.6%	94.6%	0.0%	100.3%	84.1%	94.6%	95.0%
Administrative/Revenue	9.7%	8.2%	8.1%	5.7%	0.0%	7.5%	8.1%	7.8%	7.7%
Contribution to Reserves	-8.0%	0.9%	-9.7%	-0.3%	0.0%	-7.7%	7.8%	-2.4%	-2.7%
UW Gain/Prem Revenue	-8.0%	0.9%	-9.7%	-0.3%	0.0%	-7.7%	7.8%	-2.4%	-2.7%
SNBC (MA Only)									
Premium Revenues (line 8)	\$0	\$116,076	\$0	\$174,445	\$42,357	\$29,767	\$22,873	\$480,120	\$865,637
Medical/Hospital Expenses (line 18)	\$0	\$107,694	\$0	\$156,450	\$36,496	\$26,230	\$18,934	\$423,390	\$769,194
Administrative Expenses (lines 20-21)	\$0	\$10,439	\$0	\$14,520	\$4,158	\$1,653	\$1,912	\$38,532	\$71,213
PDR change (line 22)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Gain (loss) from operations (Line 24)	\$0	-\$2,057	\$0	\$3,475	\$1,703	\$1,884	\$2,027	\$18,198	\$25,230
Net Investment gain (or loss) and other (line 27 & 29)	\$0	-\$206	\$0	\$3,072	\$79	\$35	\$76	\$3,045	\$6,102
Net Income (loss) before taxes (line 30)	\$0	-\$2,264	\$0	\$6,548	\$1,782	\$1,919	\$2,103	\$21,243	\$31,331
Ratios:									
Medical Loss Ratio	0.0%	92.8%	0.0%	89.7%	86.2%	88.1%	82.8%	88.2%	88.9%
Administrative/Revenue	0.0%	9.0%	0.0%	8.3%	9.8%	5.6%	8.4%	8.0%	8.2%
Contribution to Reserves	0.0%	-1.8%	0.0%	2.0%	4.0%	6.3%	8.9%	3.8%	2.9%
UW Gain/Prem Revenue	0.0%	-1.8%	0.0%	2.0%	4.0%	6.3%	8.9%	3.8%	2.9%
SNBC (Integrated)									
Premium Revenues (line 8)	\$0	\$0	\$0	\$36,687	\$0	\$3,222	\$10,307	\$90,932	\$141,148
Medical/Hospital Expenses (line 18)	\$0	\$0	\$0	\$33,736	\$0	\$3,475	\$9,765	\$85,333	\$132,309
Administrative Expenses (lines 20-21)	\$0	\$0	\$0	\$2,212	\$0	\$698	\$982	\$6,929	\$10,821
PDR change (line 22)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Net Gain (loss) from operations (Line 24)	\$0	\$0	\$0	\$738	\$0	-\$950	-\$440	-\$1,300	-\$1,983
Net Investment gain (or loss) and other (line 27 & 29)	\$0	\$0	\$0	\$413	\$0	\$4	\$0	\$254	\$671

	BluePlu s	HP	Itasca	Medic a	Henn Health	Prime West	SCHA	Ucar e	All Plans
Net Income (loss) before taxes (line 30)	\$0	\$0	\$0	\$1,152	\$0	-\$946	-\$440	-\$1,076	-\$1,311
Ratios:									
Medical Loss Ratio	0.0%	0.0%	0.0%	92.0%	0.0%	107.8%	94.7%	93.8%	93.7%
Administrative/Revenue	0.0%	0.0%	0.0%	6.0%	0.0%	21.7%	9.5%	7.6%	7.7%
Contribution to Reserves	0.0%	0.0%	0.0%	2.0%	0.0%	-29.5%	-4.3%	-1.5%	-1.4%
UW Gain/Prem Revenue	0.0%	0.0%	0.0%	2.0%	0.0%	-29.5%	-4.3%	-1.5%	-1.4%
All Public Products									
Premium Revenues (lines 8, 19, 30, 41, 52)	\$2,331,228	\$1,210,282	\$66,357	\$763,744	\$256,680	\$313,548	\$211,438	\$2,834,706	\$7,987,983
Medical/Hospital Expenses (lines 9,20,31,42,53)	\$2,079,378	\$1,082,859	\$58,528	\$675,026	\$224,190	\$284,510	\$189,148	\$2,538,307	\$7,131,947
Administrative Expenses (lines 10, 21,32,43,54)	\$242,159	\$94,128	\$5,658	\$42,019	\$29,751	\$20,544	\$20,127	\$239,378	\$693,763
PDR change (line 22)	\$0	\$11,491	\$0	\$0	-\$4,500	\$0	\$0	-\$4,000	-\$19,991
Net Gain (loss) from operations (Line 11 22,33,44,55)	\$9,691	\$44,786	\$2,171	\$46,699	\$7,240	\$8,494	\$2,163	\$61,022	\$182,265
Net Investment gain (or loss) and other (line 27 & 29)	\$13,035	-\$48	\$16	\$10,299	\$480	\$368	\$223	\$20,164	\$44,536
Net Income (loss) before taxes (line 30)	\$22,725	\$44,738	\$2,187	\$56,998	\$7,720	\$8,861	\$2,387	\$81,186	\$226,801
Ratios:									
Medical Loss Ratio	89.2%	89.5%	88.2%	88.4%	87.3%	90.7%	89.5%	89.5%	89.3%
Administrative/Revenue	10.4%	7.8%	8.5%	5.5%	11.6%	6.6%	9.5%	8.4%	8.7%
Contribution to Reserves	0.4%	3.7%	3.3%	6.1%	2.8%	2.7%	1.0%	2.2%	2.3%
UW Gain/Prem Revenue	0.4%	2.8%	3.3%	6.1%	1.1%	2.7%	1.0%	2.0%	2.0%
Investment Income	\$13,035	-\$48	\$16	\$10,299	\$480	\$368	\$223	\$20,164	\$44,536
Total contribution to Reserves	\$22,725	\$44,738	\$2,187	\$56,998	\$7,720	\$8,861	\$2,387	\$81,186	\$226,801
Percent	1.0%	3.7%	3.3%	7.5%	3.0%	2.8%	1.1%	2.9%	2.8%

Source: MDH/Health Economics Program analysis of health plan financial data (supplement #1), April 2020

Attachment C

Tribal and Urban Indian Health Directors Meeting
WEBEX LINK HERE

QUARTERLY MEETING AGENDA – FINAL

Thursday, August 20, 2020

9:00 am to 12:30 pm

9:00 a.m.

Roll Call

Opening Prayer/Invocation/Moment of Silence

9:15 – 10:00 p.m.

MDH Commissioner Jan Malcolm Updates

MDH Legislative Proposal Review – Lisa Thimjon & Margaret Kelly

MDH Proposed Tribal Consultation Plan – Jackie Dionne

10:00 to 10:30

National Indian Health Board & GLIHB Updates - Sam Moose

10:30 to Noon

**Introductions – Matt Andersen, DHS Assistant Commissioner and Medical Director –
Healthcare Administration**

Managed Care procurement Schedule

Four Walls discussion

Consultation process

Priorities going forward

Noon to 12:30 pm

DPS – Murdered and Missing Indigenous Women Taskforce Update – Nigel Perrote
HOLD – only if safe to meet in person otherwise we will plan another virtual meeting,
Thursday only

Thursday, November 19, 2020 – THD and All
Friday, November 20, 2020 – THD only

Agenda items for next meeting

Tribal and Urban Indian Health Directors Meeting
SMSC – The Link Conference Center
2200 Trail of Dreams
Prior Lake, MN 55372

QUARTERLY MEETING
Thursday, November 19, 2020
9:00 am to 3:30 pm
DRAFT AGENDA

9:00 a.m.

Opening Prayer/Invocation/Moment of Silence
Welcome and Introductions

9:30 – 10:30 p.m.

MDH Commissioner Malcolm (confirmed)

10:30 to 12:30 pm DHS Four Walls Discussion Vern LaPlante/Patrick Hultman

February 26, 2016, CMS issued SHO # 16-002
Update CMS Call with DHS regarding SHO #16-002
Next Steps

Tribal Health Directors 2020 Meeting Dates:

Agenda items for next meeting

Adjourn Attachment A

Tribal and Urban Indian Health Directors Meeting

WebEx Link

Join from the meeting link

<https://minnesota.webex.com/minnesota/j.php?MTID=mf822fcf5b20d48c95df0d5db5a25a8>

[b7](#)

QUARTERLY MEETING
Thursday, February 18, 2021
9:00 am to 1:00 pm
AGENDA

9:00 a.m. Opening Prayer/Invocation/Moment of Silence
Welcome and Introductions

DHS Agenda Items

9:15 – 9:30 a.m. SPA and Waivers - Patrick Hultman, Jan Kooistra

9:30 – 9:45 a.m. MCO RFP – Pamela Weiner

9:45 – 10:15 a.m. SOAR – Catie LeMay, Jill Hillebreg

10:15 – 10:30 a.m. Housing w Services Provider Enrollment Opportunity
Mark Caldwell, Heidi Hamilton

10:30 to 10:45 a.m. Continued: Four Walls Discussion

10:45 – 11:00 am National Indian Health Board Update – Sam Moose FDL Human
Services Director

11:00 – 11:15 am Stretch Break

MDH Agenda Items

11:15 to 11:30 a.m. MDH Tribal Per Capita formula – update number for each Tribe for
2021 – Jackie Dionne

11:30 a.m. – Noon Commissioner Jan Malcolm
COVID 19 Update/Report
MDH '22-'23 Budget

Noon – 12:15 pm MDH Tribal Infectious Disease Data Joint Powers Agreement –
Mariah Norwood

12:15 to 12:30 pm MDH Tribal Data Reports Project – Meredith Cooney and Dan
Fernandez-Baca

12:30 to 12:45 pm Syphilis Outbreak Update

12:45 – 1:00 pm

Announcement and updates – open

Tribal Health Directors 2021 Meeting Dates:

Thursday, February 18 – virtual meeting

Thursday, May 20 – virtual meeting

Thursday, August 19 – in-person @ The Link Meeting Center at Shakopee (if conditions allow)

Thursday, November 18 – in-person @ The Link Meeting Center at Shakopee (if conditions allow)

Time and Location - TBD

Agenda items for next meeting

Adjourn

Tribal and Urban Indian Health Directors Meeting

WebEx Link

Join from the meeting link

<https://minnesota.webex.com/minnesota/j.php?MTID=mf822fcf5b20d48c95df0d5db5a25a8>

[b7](#)

QUARTERLY MEETING
Thursday, May 20, 2021
9:00 am to 1:00 pm
PROPOSED AGENDA

9:00 a.m. Opening Prayer/Invocation/Moment of Silence
Welcome and Introductions

MDH Agenda Items

9:15 – 9:45 am MDH Commissioner and/or EO Updates
COVID 19 Status Update
MDH Legislative Policy Update
MDH Budget Updates

9:45 – 10:00 am Office of Indian Health
CDC Health Equity Grant Update
21st Century Public Health Infrastructure

10:00 – 10:30 am Children’s Cabinet Update/Discussion
Legislative Update
IM MM Data Discussion
FIMR Update

10:30 – 10:45 am

GL-IHB/NIHB/IHS/GLITEC

10:45 – 11:00 am National Indian Health Board Update – Sam Moose FDL Human
Services Director

11:00 – 11:15 am Stretch Break

DHS Agenda Items

11:15 a.m. - 11:30 a.m. School based Community Services - Jenny Roth

11:30 a.m. – 11:45 a.m. MCO procurement process - Pamela Weiner
11:45 a.m. – 12:15 p.m. 1915B waiver MCO Opt in - Patrick Hultman
12:15 p.m. - 12:30 p.m. SPA-Waivers - Linda Monchamp
12:30 p.m. - 12:45 p.m. Consultation review – Vern LaPlante
12:45 p.m. - 1:00 p.m. LTSS update – Jacob Day

Announcement and updates - open

Tribal Health Directors 2021 Meeting Dates:

Thursday, May 20 – virtual meeting

Thursday, August 19 – in-person @ The Link Meeting Center at Shakopee (if conditions allow)

Thursday, November 18 – in-person @ The Link Meeting Center at Shakopee (if conditions allow)

Time and Location - TBD

Agenda items for next meeting

Adjourn

Attachment D

2020 3rd Quarter – Managed Care Ombudsman CMS Report

State Fair Hearings Closed in Quarter 3 of CY 2020 by Metro and Non-Metro Areas

Area	n
Eleven County Metro Area	82
Non-Metro Area	34
Total	116

State Fair Hearings Closed in Quarter 3 of CY 2020 by Type, Service Category and Outcome

Outcome	Dismissed	Enrollee Prevailed	HP Partially Upheld	HP Prevailed	Resolved bf Hearing	State Affirmed	Withdrawn	Total
Service Category	n	n	n	n	n	n	n	n
Chemical Dependency	1			1				2
Chiropractic					1		1	2
DME-Medical Supplies	1	2		6	5		1	15
Dental	1			3	2			6
EW Services				1				1
Health Plan Change	3				4	4		11
Home Care	2	2	1	11	4			20
Hospital					2			2
Mandatory Participation						1		1
Mental Health		1						1
Pharmacy	6			3	13		1	23
Professional Medical Services	5	1		6	8			20
Restricted Recipient	2	3		2	2			9
Therapies/Rehabilitation					1			1
Transportation	1			1				2
Total	22	9	1	34	42	5	3	116

Summary of SFHs Closed in Quarter 3 of CY 2020 by Outcome

Outcome	n
Dismissed	22
Enrollee Prevailed	9
HP Partially Upheld/Member Partially Denied	1
Health Plan Prevailed	34
Resolved before hearing	42
State affirmed	5
Withdrawn	3
Total	116

2020 4th Quarter – Managed Care Ombudsman CMS Report

State Fair Hearings Closed in Quarter 4 of CY 2020 by Metro and Non-Metro Areas

Area	n
Eleven County Metro Area	68
Non-Metro Area	31
Total	99

State Fair Hearings Closed in Quarter 4 of CY 2020 by Type, Service Category and Outcome

Outcome	Dismissed	Enrollee Prevailed	HP Partially Upheld	HP Prevailed	Resolved bf Hearing	State Affirmed	Withdrawn	Total
Service Category	n	n	n	n	n	n	n	n
Chiropractic	2							2
DME-Medical Supplies	2	1		3		5	1	12
Dental				2		1	1	4
Health Plan Change	3						3	6
Home Care	5	5		3		3	2	18
Pharmacy	2	1		3	1	7	3	17
Prof Medical Services	9	1	1	2		5	2	20
Restricted Recipient	7	2		2		3		14
Therapies/Rehabilitation	1			1		1		3
Vision Services	1	1		1				3
Total	32	11	1	17	1	25	12	99

Summary of SFHs Closed in Quarter 4 of CY 2020 by Outcome

Outcome	n
Dismissed	32
Enrollee Prevailed	11
HP Partially Upheld/Member Partially Denied	1
Health Plan Prevailed	17
Resolved after hearing	1
Resolved before hearing	25
Withdrawn	12
Total	99

2021 1st Quarter – Managed Care Ombudsman CMS Report

State Fair Hearings Closed in Quarter 1 of CY 2021 by Metro and Non-Metro Areas

Area	n
Eleven County Metro Area	83
Non-Metro Area	55
Total	138

State Fair Hearings Closed in Quarter 1 of CY 2021 by Type, Service Category and Outcome

Outcome	Dismissed	Enrollee Prevailed	HP Prevailed	Resolved before Hearing	State Affirmed	Withdrawn	Total
Service Category	n	n	n	n	n	n	n
Chemical Dependency				1			1
DME-Medical Supplies	2	2	4	7		1	16
Dental	4		6	3			13
Health Plan Change	3	1		4	2		10
Home Care	1	2	4	2			9
Hospital	1			1			2
Mental Health	1			2			3
Pharmacy	7		1	21		3	32
Prof Medical Services	3	3	2	22			30
Restricted Recipient	1	4	7	3		1	16
Transportation				1			1
Vision Services	1			4			5
Total	24	12	24	71	2	5	138

Summary of SFHs Closed in Quarter 1 of CY 2021 by Outcome

Outcome	n
Dismissed	24
Enrollee Prevailed	12
Health Plan Prevailed	24
Resolved before hearing	71
State Affirmed	2
Withdrawn	5
Total	138

2021 2nd Quarter – Managed Care Ombudsman CMS Report

State Fair Hearings Closed in Quarter 2 of CY 2021 by Metro and Non-Metro Areas

Area	n
Eleven County Metro Area	93
Non-Metro Area	52
Total	145

State Fair Hearings Closed in Quarter 2 of CY 2021 by Type, Service Category and Outcome

Outcome	Dismissed	Enrollee Prevailed	HP Prevailed	Resolved bf Hearing	State Affirmed	Withdrawn	Total
Service Category	n	n	n	n	n	n	n
Chiropractic				1		1	2
DME-Medical Supplies			2	6		1	9
Dental	3	1	12	5		1	22
Emergency Room				1			1
Health Plan Change	1	1		3	2	1	8
Home Care	3	2	5	3		1	14
Hospital	2			1			3
Mental Health	1						1
Pharmacy	7		6	9		2	24
Prof Medical Services	9	4	3	18		3	37
Restricted Recipient	5	4	6	5			20
Therapies/Rehabilitation		1					1
Transportation	1			1		1	3
Total	32	13	34	53	2	11	145

Summary of SFHs Closed in Quarter 2 of CY 2021 by Outcome

Outcome	n
Dismissed	32
Enrollee Prevailed	13
Health Plan Prevailed	34
Resolved before hearing	53
State Affirmed	2
Withdrawn	11
Total	145