

HCBS Final Rule Evidentiary Package

Augustana Chapel View Apartments



Setting information

Setting name: Augustana Chapel View Apartments	ID # 20026
Street address: 605 Minnetonka Mills Road, Hopkins , MN	Phone: 9526973877
Setting website, if applicable: Augustana Chapel View Apartments http://chapelviewcampus.org/community-info/	Date of site visit: 7/13/2018

Waiver service type

Waiver service	Service type:
<input checked="" type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized Living

Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 1 Located in a Public or Private Institution	Effect of Isolating Characteristic	Name of Institution Augustana Chapel View Care, 615 Minnetonka Mills Rd, Hopkins, MN, 55343

Note: The term people/person (resident for residential settings) refers to people receiving Medicaid HCBS waiver services.

General summary

Chapel View Apartments support dignity, independence and choice through services tailored to the needs of each individual resident. The apartments feature a well-appointed kitchen and amenities with an array of services to meet your individualized needs and preferences. People living at Chapel View Apartments receive services such as individualized care plans, nursing services, meals and weekly housekeeping can help make life easier and more enjoyable.

Chapel View Apartments is located in Hopkins, a second ring suburb in Minnesota's largest urban region, with an estimated 2016 US Census population of 18,105 people. According to information submitted through the HCBS Provider Attestation, 5 people receive HCBS waiver services and 48 additional people receive support through another funding stream. Chapel View is situated on a tree-lined street near local shops and restaurants. Activities include gardening, pet visits and an intergenerational program organized by a committed staff with the help of more than a hundred volunteers.

Customized living provider standards/qualifications

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized living services are provided in housing with services establishments with the service provider directly licensed as a comprehensive home care provider by the Minnesota Department of Health. Customized Living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified, registered housing with services establishment.

Customized living service definitions that support the setting requirements

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide task (e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting enrollees with arranging meetings and appointments, assisting with money management, assisting participants with scheduling medical and social services, and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each see [Customized Living Component Service Definitions, DHS-6790H \(PDF\)](#).

Minnesota's Community Based Services Manual (CBSM) provides the following requirements for [customized living services](#):

http://www.dhs.state.mn.us/main/id_001787#

Prong 1 and Prong 2 settings

Meaningful distinction between the facility and HCBS setting

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.	
Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</p> <p>Chapel View Apartments are physically separated from the nursing home and do not share space. The home and community-based setting shares a common wall to connect the care center (institution) setting. Each setting has separate staff training and orientation policies and procedures.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>To the extent any facility staff are assigned occasionally or on a limited basis to support or back up the HCBS staff, the facility staff are cross-trained to meet the same qualifications as the HCBS staff; (staff training materials that speak of the need to support individuals' chosen activities), (person centered planning) (the staff is trained specifically for home and community-based support in a manner consistent with the HCB settings regulations.)</p> <p>Chapel View Apartments staff are not scheduled to work in the nursing home. Staff who provide HCBS services receive training specific to the HCBS Rule. The submitted documentation is compliant with HCBS requirement training per our Desk Audit Review process.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the facility setting, to the exclusion of other options; (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>Chapel View Apartments have their own transportation vehicles to provide transportation to people receiving services. People will also utilize public transportation, volunteers, family, and their own vehicles.</p>

The setting provides HCBS services in a space that is distinct from the space that institutional services are provided.

Chapel View Apartments and Chapel View Care Center are connected via a locked hallway – people receiving HCBS services have access to the key code. The two settings have separate and distinct service delivery areas from one another with Chapel View Apartments having its own entrance. People receiving services have an independent and informed choice of using the medical clinic, senior care clinic and pharmacy located onsite in the facility.



Met

Unmet

Not applicable

Community engagement opportunities and experiences



Augustana Chapel View Apartments has a resident council. This council develops a monthly activities calendar, a weekly calendar, and voices areas for improvement that is shared with management. Further, there is a “resident’s resource” bulletin board where people post and share information they find throughout the month. Staff have a resource binder available for review, will assist people in researching available community opportunities, and identify transportation options. Staff – from the administrator to the receptionist - actively engage with people to research options to have individualized schedules and community activities.

July 2018 Chapel View Apartments Events Calendar

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY	
1 10:00am Coffee Communion 2pm Worship Nancy Carlson	2 10am Exercise 11:30am Bible Study 1:30pm Bingo/Coffee 3pm Card Club	3 9:00am Shop Workshop 10am Exercise 10am Coffee/Book 10pm Wii Games	4 Purvey of JMS Class closed	5 10 am Exercise 11:30am Bible Study 1:30pm Bingo/Coffee	6 10am Exercise 1:30pm Bible Study 3pm Arnold Palmer vs Public	7 10am Exercise 2pm Movie at Lake Center Hospice Respite Arrival begins!	
8 10:00am Coffee Communion 2pm Worship Nancy Carlson	9 10am Exercise 10:30am Bible Study 11:30am Bingo/Coffee 1:30pm Card Club	10 10:00am Blood Pressure Clinic 9:30am Shop 10am Exercise 10pm Movie 11:30pm Wii games 4:45 pm Pool Party	11 10am exercise 10am Worship 10:30am Lutheran 11:30am Sing Along 2:30pm Respite at Chapel	12 10am Exercise 10:30am Bible Study 1:30pm Book 3pm Pool 4:30pm Library 4:30pm Bingo/Coffee 3pm Card Club	13 10am Exercise 1:30pm Bible Study 3pm Arnold Palmer vs the Public	14 10am Exercise 2pm Movie at Lake Center	
15 10:00am Coffee Communion 2pm Worship Service Lisa Lohmeyer Hospice Respite Arrival Begins	16 10am Exercise 10:30am Bible Study 11:30am Bingo/Coffee 1:30pm Card Club	17 9:30am Shop 10am Exercise 10:30am Bible Study 11:30am Bingo/Coffee 1:30pm Card Club	18 10am Exercise 10:30am Bible Study 11:30am Sing Along 2:30pm Respite at Chapel	19 10am Exercise 10:30am Bible Study 11:30am Sing Along 2pm Arnold Palmer 3pm Card Club	20 10am Exercise 1:30pm Bible Study 3pm Arnold Palmer vs Public	21 10am Exercise 2pm Movie at Lake Center	
22 10:00am Coffee Communion 2pm Worship Service Cheryl of the Church	23 10am Exercise 10:30am Bible Study 11:30am Bingo/Coffee 1:30pm Card Club	24 9:30am Shop 10am Exercise 10:30am Bible Study 11:30am Sing Along 1:30pm Card Club	25 10am Exercise 10:30am Bible Study 11:30am Sing Along 2pm Arnold Palmer 3pm Card Club	26 10am Exercise 10:30am Bible Study 11:30am Sing Along 2pm Arnold Palmer 3pm Card Club	27 10am Exercise 1:30pm Bible Study 3pm Arnold Palmer 4pm Popcorn	28 10am Exercise 2pm Movie at Lake Center	
29 10:00am Coffee Communion 2pm Worship Service Lisa Lohmeyer	30 10am Exercise 10:30am Bible Study 11:30am Sing Along 1:30pm Card Club	31 10am Exercise 10:30am Bible Study 11:30am Sing Along 1:30pm Card Club	Father H. of July 31st Conclude Korbel Tea				*Activities subject to changes and subject to closure

Community activities shared during our site visit and interviews include:

- Museums
- Community music and concerts
- Tours of landmarks (e.g. capital building)
- Farmers market
- Community walks and parks
- Grocery and personal shopping

Other onsite activities include bingo, book groups, armchair travel dinner, cooking, crafting, gardening, exercise programs, and puzzles. Staff will update their resource binders and bulletin board with new options and ideas shared through each month.

It was evident in observation and interviews with people, staff and the administrator that person-centered practices are at the forefront of service delivery by:

- Respecting and honoring the things each person thinks are important and encouraging informed choice and creativity.
- Supporting activities are flexible and work around the person’s preferred schedule (people are not following a “set schedule”
- Encouraging people to share ideas and make choices about setting activities based on their own personal preferences and interests
- Ensuring people have opportunities and supports they need to be fully included in their community, individually and in groups, as desired
- Assisting people with developing meaningful relationships with other members of the community.

HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (Please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments have their own lease as required by Minn. Stat. 144D for people receiving customized livings. The provider submitted documentation demonstrating compliance with HCBS Rule.</p>	<p>Compliant</p>

<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>At Chapel View Apartments, each person has their own key that unlocks both their apartment unit and main outside doors. Access between the HCBS setting and institutional setting is shared with each person. During interviews with people receiving services we observed the use of locks on doors and privacy within living unit.</p>	<p>Compliant</p>
<p>The setting facilitates that a person, who shares a bedroom, is with a roommate of their choice.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p><i>Chapel View Apartments does not have shared rooms</i></p>	<p>Compliant</p>
<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>People receiving services at Chapel View Apartments are able to freely decorate own living quarters. We observed personal decorations, preferences, and choices in how people chose to their décor.</p>	<p>Compliant</p>
<p>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments provides three meals and multiple snacks each day. During activities, additional food items are provided. If additional food is needed, Chapel View will assist the person is securing food. Each living unit has a full kitchen and people are able to store food and eat in their living unit. Chapel View flexibly accommodates delivery of a person’s support needs based on their individualized schedule. Staff reported, “We will mix up our schedule to accommodate theirs.”</p>	<p>Compliant</p>

<p>The setting allows people to have visitors at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Visitors freely come and go at any time. During our interviews with people receiving services, they reported having visitors at any time. We observed visitors coming and going in the setting during our onsite assessment. Visitors are not required to sign in nor restricted to specific areas of the setting.</p>	<p>Compliant</p>
<p>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments staff reported, “If a person wants a job, we will help getting them to the right resources,” and “Will accommodate whatever schedule they have.” Staff also reported that at least one-person currently receiving services has a full-time job at a local public school.</p>	<p>Compliant</p>
<p>The setting is physically accessible to the individual.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments are able to physically accessible, and people can access all areas of the setting, including community space, meal areas. People receiving services can request reasonable accommodations to make modifications to their living unit.</p>	<p>Compliant</p>
<p>The setting provides people opportunities to access and engage in community life.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments resident council develops a monthly activities calendar, a weekly calendar, and have a “resident’s resource” bulletin board where people share information and images. Staff also provide a resource binder and will assist people in researching available community opportunities. Staff – from the administrator to the receptionist - actively engage with people to research options to have individualized schedules and community activities.</p>	<p>Compliant</p>

<p>The setting supports the person’s control of personal resources.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>The provider submitted documentation through the attestation demonstrating compliance. This provider does not provide money management or control of personal resources.</p>	<p>Compliant</p>
<p>The setting ensures people’s right to privacy.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Chapel View Apartments has areas within the setting where private conversations can take below beyond a person’s living unit. Staff are trained to be knowledgeable of people’s right to privacy, including knocking on doors and waiting for a response before entering an apartment.</p>	<p>Compliant</p>
<p>The setting ensures people’s dignity and respect.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p><i>Chapel View Apartments</i> submitted compliant training, orientation, policies, and procedures for direct care staff and informing people receiving services of their right to be treated with dignity and respect. We observed staff practicing these requirements during their interaction with people living in the setting.</p>	<p>Compliant</p>
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>The setting policies state that restraints or restraint devices are not utilized at the setting. The Vulnerable Adult policies are in place and staff receive training on this topic.</p>	<p>Compliant</p>

<p>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People living at Chapel View Apartments are able to receive services flexibly to accommodate their preferred life and schedule including when they receive services, who they interact with, and access to the community. People have individualized onsite options and identify individualized preferences for community activities. During our interview, it was shared each person has choice and autonomy in their daily activities and can provide input to shape the daily and monthly planned activities.</p>	<p>Compliant</p>
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Pictures of the HCBS setting



Public comment summary

The Minnesota Department of Human Services (DHS) did not receive public comments for this setting.

DHS sought public comment for 30 days (Feb. 6-March 7, 2019) before submitting settings to the Centers Medicare & Medicaid Services (CMS) for heightened scrutiny.

We sought public comments using the following methods:

- Evidentiary packages posted online on the [Home and Community Based Services Rule transition plan page](#)
- Evidentiary packages specific to each setting posted in a common area of the setting
- Notice of public comment period via [Feb. 6, 2019, eList announcement](#)
- Notification to lead agencies via regional resource specialists
- Notification to providers via email
- Notification to managed care organizations and Area Agencies on Aging (AA) via email
- Notification to long-term care ombudsman office via email
- Disability Hub MN virtual insight panel.

Minnesota's recommendation

Date of recommendation: 4/1/2019

Minnesota supports that this setting overcomes the institutional presumption and meets the requirements of a home and community-based setting. Provider is required to maintain on-going compliance with all HCBS requirements.