

# HCBS Final Rule Evidentiary Package

## Augustana Minneapolis Apartments



### Setting information

Setting name: Augustana Minneapolis Apartments	ID # 230
Street address: 1425 10th Avenue South, Minneapolis, MN 55404	Phone: 612.238.5555
Setting website, if applicable: <a href="http://minneapoliscampus.org/">Augustana Care Minneapolis Apartments</a> <a href="http://minneapoliscampus.org/">http://minneapoliscampus.org/</a>	Date of site visit: 6/5/2018

## Waiver service type

Waiver service	Service type:
<input checked="" type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized Living

## Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 1 Located in a Public or Private Institution	Name of Institution	Name of Institution Augustana, 1007 East 14th St, Minneapolis, MN, 55404

Note: The term people/person (resident for residential settings) refers to people receiving Medicaid HCBS waiver services.

## General summary

Augustana Minneapolis Apartments is located in the urban core of Minneapolis (est. population as of 2016 is 413,651 people) surrounded by residential, retail, and commercial spaces. Augustana provides options to support dignity, independence and choice through services tailored to the needs of each individual person. The setting reported on their attestation that they are serving 25 people across multiple payment sources, including 12 people receiving HCBS waiver funding (4 CADI, and 8 EW/AC) and 13 people private paying for service. The total amount of apartments in this building are 69 units. The apartments feature a well-appointed kitchen and amenities with an array of assisted living services meeting their unique needs and preferences, people can enjoy peace of mind in an environment that lets them take advantage of new opportunities and experiences. Available services such as individualized care plans, nursing services, meals and weekly housekeeping can help make life easier and more enjoyable. Augustana Care is a place you can relax while nourishing body and soul and discovering new possibilities and friendships.

## Customized living provider standards/qualifications

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized living services are provided in housing with services establishments with the service provider directly licensed as a comprehensive home care provider by the Minnesota Department of Health. Customized Living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified, registered housing with services establishment.

## Customized living service definitions that support the setting requirements

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide task (e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting enrollees with arranging meetings and appointments, assisting with money management, assisting participants with scheduling medical and social services, and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each see [Customized Living Component Service Definitions, DHS-6790H \(PDF\)](https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG).  
(<https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG>)

Minnesota's Community-Based Services Manual (CBSM) provides the following requirements for customized living services:

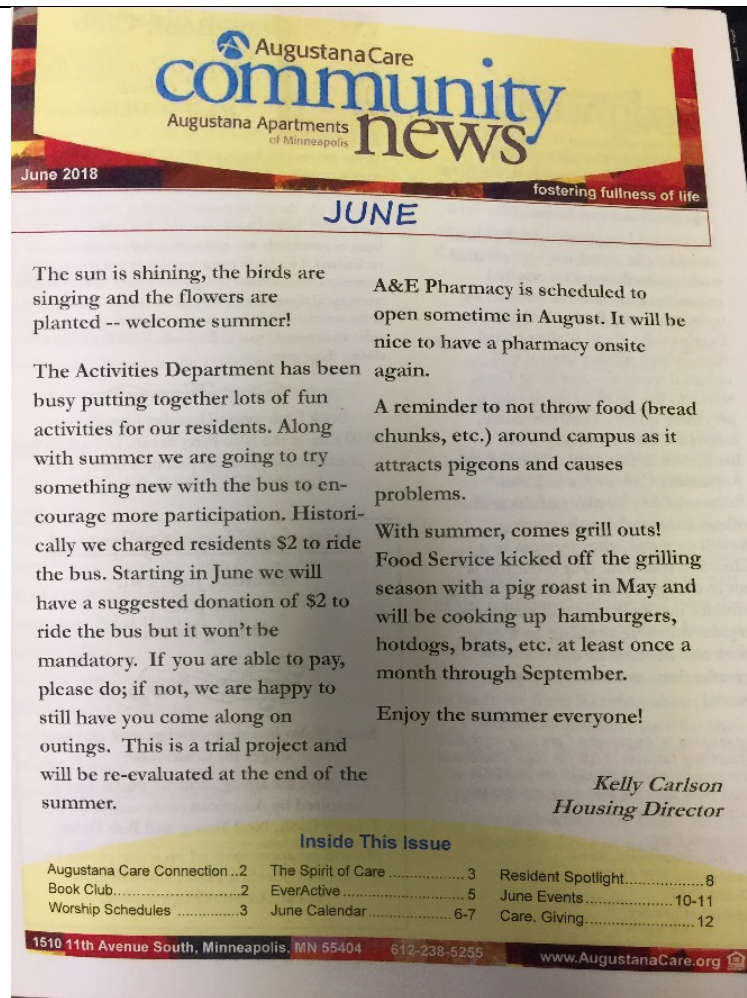
[CBSM – Customized Living](#)

[http://www.dhs.state.mn.us/main/id\\_001787#](http://www.dhs.state.mn.us/main/id_001787#)

# Prong 1 and Prong 2 settings

## Meaningful distinction between the facility and HCBS setting

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.	
Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</b></p> <p>Augustana Apartments are physically separated from the nursing home (Augustana Care Center) and do not share space. The home and community-based setting entrance is on a separate city street from the facility, sharing a common wall to connecting the facility and the home and community-based setting. Each setting has separate staff training and orientation policies and procedures.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>To the extent any facility staff are assigned occasionally or on a limited basis to support or back up the HCBS staff, the facility staff are cross-trained to meet the same qualifications as the HCBS staff;</b> (staff training materials that speak of the need to support individuals' chosen activities), (person centered planning) (the staff is trained specifically for home and community-based support in a manner consistent with the HCB settings regulations.)</p> <p>Per administration and staff interviews, staff at Augustana Apartments are not scheduled to work in the nursing home. Staff receiving training for the home and community-based setting. The submitted documentation is compliant with HCBS requirement training per our Desk Audit Review process.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the facility setting, to the exclusion of other options;</b> (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>Augustana Apartments has a bus to provide transportation for people receiving services. Announced in a recent community newsletter, they are piloting a pay-as-you-are-able fare. Due to the location of the setting, there are many transportation options available to people including several public transportation bus lines, light rail, taxis, volunteers, and family members. Our interviews with people receiving services highlighted several people have their own vehicles.</p>



- Met
- Unmet
- Not applicable

**The setting provides HCBS services in a space that is distinct from the space that institutional services are provided.**

Augustana Apartments are physically separate from the facility and the home and community-based setting does not share space with the facility at any time. The settings have separate entrances (pictured below) that are on separate city streets. People receiving services have an independent and informed choice of using the medical clinic, senior care clinic and pharmacy located onsite in the facility. During interviews, it was reported people typically continue receiving homecare and medical services from their longstanding providers.



## Community engagement opportunities and experiences

Being in the urban core, people receiving services have access to a wide-range of community resources, activities and events, and a robust network of public transportation (buses, taxis, and light rail).

To develop monthly activities calendars, the activities director conducts an interest survey, people living in the setting share ideas during monthly resident council meetings, people can share ideas in confidential suggestion boxes, and people share ideas directly with staff.

Augustana also employs a marketing staff, social workers, and an activity director who assist to share the community activities available and support people to attend their preferred events that align with their interests. The social worker will support people to arrange transportation as needed. The transportation options include a person's own vehicle, multiple transportation options (bus, light rail, taxis, volunteer drivers, and friends / family).

Often, people living in Augustana Apartments will informally connect to create their own activities or attend community events determined by group consensus. Community activities shared during our interviews with administrators, staff and people receiving services include:

- Casinos
- Church
- Community classes
- Community garden plots
- Downtown events (Nicollet Mall, parades, Minnesota Twins games, theater)
- Farmers market
- Walking clubs (go around lakes, arboretum, and parks)
- Wood working

Other activities include movie night, board games, word games, card games, bingo, bible study, parties celebrating holidays and special events, crafting, music, exercise, social time on the patio/gazebo, restaurants, and day trips.

Augustana develops a monthly newsletter shared with each person living in the setting, posts activities and community events on boards in the main gathering areas, and offer a telephone line that when a person calls shares the activities for the day.

DAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY	Location Key
<b>JUNE 2018</b>							
fostering fullness of life							
Comunion Sunday Worship CH	4 9:15/10 SAIL Exercises FC 10:00 Walking Club@ 1510FL Minnehaha Park	5 2:00 LUNDS \$ 1510FL 1:00 Balance Works \$ FC 1:00 Crafts 1:45 Bible Study † D	6 9:15/10 SAIL Exercises FC 10:30 Remembrance Svc. † CH 10:30 FancyFingers 1020D 12:00 Old Log Theater @S1510FL	7 9:30 Food committee mtg D 10:00 Pillsbury Toddlers 1510FL 10:00 Watch Battery Man D 10:30 "Mind Time" D 11:30-1:00 Schwan's 1510FL 1:00 Rummicub (Game) D 1:00 Balance Works \$ FC 1:30 New Resident Welcome D 2:00 Catholic Mass † CH 2:00 Stitchery A 7:00 Story Hour D	8 9:15/10:00 SAIL Exercises FC 9:00 Morning Coffee Time SR 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	9 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	FL = 1510 Front Lobby D = Dining Room A = 1509 Auditorium C = Craft Room 1509 L S = Art Studio 1510 LL L = Library 1510 P = 1509 Patio G = Greenhouse SR = 1425 Skyroom(7th Fl) W = Woodshop Den = 1509 Den 1020D = 1020 Den 1020PR = 1020 Party Roo 1510PR = 1510 Party Roo 1509C=1509 Conference Rm FC=1509 LL Fitness Cent 1425L=1425 Lounge CH = Care Center Chapel † = Spiritual Life \$ = There is a Cost @ = Sign-up in advance
Spanish 1509C Coloring D Hymn Sing † D	11 9:15/10 SAIL Exercises FC 10:00 Walking Club@ 1510FL Lake Nokomis	12 9:30/10:00 CUB \$ 1510FL 1:00 Balance Works \$ FC 1:00 Crafts 1:45 Bible Study † D 2:00 Aldi/Dollar Trees 1510FL 3:00 Current Events 1020PR	13 9:15/10 SAIL Exercises FC 9:30 Hymn Sing † CH 10:30 FancyFingers 1020D 1:00 Catholic Communion 1020P 1:00 Art/SketchTime D 1:00-2:00 Mending C 1:30 500 Club D	14 10:00 Pillsbury Toddlers 1510FL 10:00 Spiritual Life mtg † 1020PR 12:00 Lunch \$@ 1510FL "The 5-8 Club" 1:00 Rummicub (Game) D 1:00 Balance Works \$ FC 2:00 Reminiscence 1510PR 2:00 Stitchery A 7:00 Story Hour D	15 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	16 10:00 Swedish 10:40 Art with Masa S 1:30 Computer Help in Den 3:00 Bus to St. Olaf Catholic Church 1510FL 7:00 Bingo D	Highlighted Colors Key: Off-Campus Outing On-Campus Special Event Social Services Event Pillsbury Event Blue Print-Active Pink Print- Ladies Art/Craft Notice the Change New Event! BUS FARE \$2.00 GROCERY SHOPPING BUS SCHEDULE Meet in 1510 Front Lobby Cub 9:30am 1425 and 1510 10:00am 1509 and 1020 Lands 9:30 am All Residents
Worship CH	18 9:15/10:00 SAIL Exercises FC 9:30 Resident Council Mtg A 10:00 Walking Club@ 1510FL Arboretum	19 9:30 LUNDS \$ 1510FL 11:15 Catholic Rosary † CH 1:00 Balance Works \$ FC 1:00 Crafts 1:45 Bible Study † D 3:00 Current Events 1020PR	20 9:15/10 SAIL Exercises FC 10:30 Sacred Imagination † CH 10:30 FancyFingers 1020D 1:00 Catholic Communion 1020P 1:00 Art/SketchTime D 1:30 Wellness Clinic 1510PR 2:00 Loss Group 1020PR	21 NO Pillsbury Toddlers Today 10:00 Low Vision Group 1020PR 11:30-1:00 Schwan's 1510FL 11:30 Men's Lunch \$@ D 1:00 Rummicub (Game) D 1:00 Balance Works \$ FC 1:30 Birthday Celebration D 2:00 Stitchery D 7:00 Story Hour D	22 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	23 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	24 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D
Spanish 1509C Coloring D Hymn Sing † D	25 9:15/10:00 SAIL Exercises FC 10:00 Walking Club@ 1510FL Lake of the Isles 1:00 Game "Strait Line" D 2:00 Ladies Tea @ SR 3:00 Sm Grp Script. Study 1510PR	26 9:30/10:00 CUB \$ 1510FL 1:00 Balance Works \$ FC 1:00 Crafts 1:45 Bible Study † D 3:00 Current Events 1020PR	27 9:15/10 SAIL Exercises FC 10:30 Prayer Service † CH 10:30 FancyFingers 1020D 1:00 Catholic Communion 1020P 1:00 Art/SketchTime D 1:00-2:00 Mending C 1:30 500 Club D	28 NO Pillsbury Toddlers Today 10:00 Book Club 1020PR 10:00 1/2 Day Trip @S1510FL Antiquified & Psycho Suzi's 1:00 Rummicub (Game) D 1:00 Balance Works \$ FC 2:00 Stitchery D 7:00 Story Hour D	29 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	30 Women's Breakfast \$ D 10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D	31 9:15/10:00 SAIL Exercises FC 10:00 Crafts C 10:40 Art with Masa S 11:00 Chair Yoga \$ FC 1:50 Friday Social \$ D 3:00 CENTERSTAGE 1510FL 7:00 Game Night D

**Augustana Care Center**

Receptionist ..... 238-5101  
 Admissions ..... 238-5341  
 Development Office ..... 238-5213  
 Gift Shop ..... 238-5249  
 Social Services ..... 238-5401  
 Therapy Services ..... 238-5428  
 Volunteer Services ..... 238-5441  
 Thrift Shop ..... 238-5027

**Senior Citizens Information Numbers**

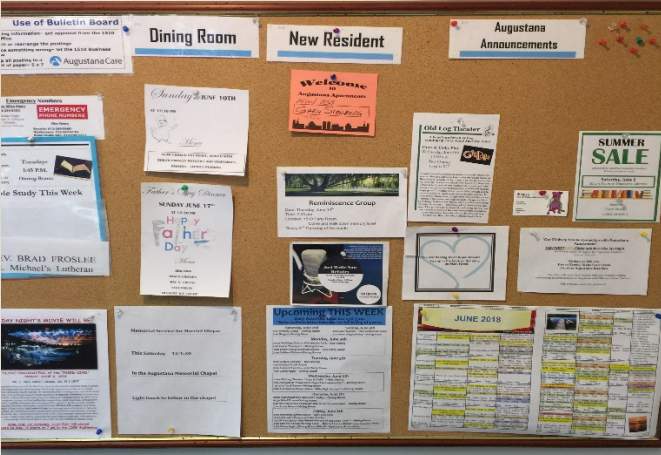
Senior Linkage ..... 1-800-333-2433  
 Hennepin Cty Coordinated Home Services ..... 612-348-4500  
 Minnesota Senior Federation ..... 651-645-0261  
 Minneapolis Senior Citizens Ombudsman ..... 612-673-3004

**CAB NUMBERS**

BLUE & WHITE 612-333-3333  
 YELLOW 612-824-4000  
 RED & WHITE 612-871-1600  
 AIRPORT CONNECT TAXI 612-362-8888  
 AIRPORT TAXI 952-928-0000

**METRO MOBILITY 651-602-1100**

1510 11th Avenue South  
 1509 10th Avenue South  
 1020 East 17th Street  
 1425 10th Avenue South





## HCBS characteristics

<p><b>This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.</b></p>	
<p><b>HCBS Rule requirement</b></p>	<p><b>Compliance status (Please select)</b></p>
<p><b>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Each person has their own lease as required by Minn. Stat. 144D for people receiving customized livings. The provider submitted documentation through the attestation demonstrating compliance.</p>	<p>Compliant</p>
<p><b>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>The provider submitted documentation through the attestation demonstrating compliance. Each person has their own key that unlocks both their apartment unit and main outside doors. During interviews with people receiving services, they reported ability to lock their own living units.</p>	<p>Compliant</p>
<p><b>The setting facilitates that a person, <i>who shares a bedroom</i>, is with a roommate of their choice.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>The provider submitted documentation through the attestation demonstrating compliance; people at the setting do not share living units unless they choose. The service provider does not coordinate or arrange roommates.</p>	<p>Compliant</p>

<p><b>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People receiving services are able to freely decorate own living quarters. We observed personal decorations, preferences, and choices in how people chose to their décor. See pictures below.</p>	<p>Compliant</p>
<p><b>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Three meals and multiple snacks are provided each day. Each customized living apartment unit has a full kitchen and people are able to store food and eat in their living unit. The setting will flexibly accommodate a person’s support needs based on their schedule.</p>	<p>Compliant</p>
<p><b>The setting allows people to have visitors at any time.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Visitors freely come and go at any time. During our interviews with people receiving services, they reported having visitors at any time. We observed visitors coming and going in the setting during our onsite assessment. Visitors are not required to sign in nor restricted to specific areas of the setting.</p>	<p>Compliant</p>
<p><b>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>The provider offers a flexible schedule of activities, meals and cares to accommodate a person’s employment, volunteer, or civic engagement. Several people receiving services work in the community. Staff reported people work at Target, teach English as a second language, and are on Boards of local non-profits.</p>	<p>Compliant</p>

<p><b>The setting is physically accessible to the individual.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>People are able to physically access all areas of the setting, including community space, meal areas, and can request reasonable accommodations to make modifications to their living unit.</p>	<p>Compliant</p>
<p><b>The setting provides people opportunities to access and engage in community life.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>The provider submitted documentation through the attestation demonstrating compliance. As described above, people’s preferences, interests and strengths are incorporated when developing the monthly calendar and that each person may choose which activities to participate in or choose not to participate.</p>	<p>Compliant</p>
<p><b>The setting supports the person’s control of personal resources.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input type="checkbox"/> Observation made during on-site visit</li> </ul> <p>The provider submitted documentation through the attestation demonstrating compliance. This provider does not provide money management or control of personal resources.</p>	<p>Compliant</p>
<p><b>The setting ensures people’s right to privacy.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>Augustana has areas within the setting where private conversations can take below beyond a person’s living unit. Staff are trained to be knowledgeable of people’s right to privacy, including knocking on doors and waiting for a response before entering an apartment.</p>	<p>Compliant</p>

<p><b>The setting ensures people’s dignity and respect.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>The provide documented compliant training and orientation and policies and procedures for direct care staff and informing people receiving services of their right to be treated with dignity and respect. We observed staff practicing these requirements during their interaction with people living in the setting.</p>	<p>Compliant</p>
<p><b>The setting ensures people’s freedom from coercion and restraint.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>The setting policies state that restraints or restraint devices are not utilized at the setting. The Vulnerable Adult policies are in place and staff receive training on this topic.</p>	<p>Compliant</p>
<p><b>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</li> <li><input checked="" type="checkbox"/> Observation made during on-site visit</li> </ul> <p>Submitted and reviewed policy indicates people receiving services can choose activities based on their preferences, interests and have choice of service providers to meet their assessed needs. People are able to receive services flexibly to accommodate their preferred life and schedule including when they receive services, who they interact with, and access to the community. During the on-site assessment, we observed people coming and going freely, without barriers. There are no curfews or times when people are required to participate in certain activities. People receiving services create informal groups centered on personal interests, are able to navigate community activities and events independently, and can seek support when they determined necessary. People’s preferences are respected and honor. Staff support people to have individualized onsite options and identify individualized preferences for community activities. People interviewed confirmed they have choice and autonomy in their daily activities and can provide input to shape the daily and monthly planned activities.</p>	<p>Compliant</p>

# Pictures of the HCBS setting



## Public comment summary

**The Minnesota Department of Human Services (DHS) did not receive public comments for this setting.**

DHS sought public comment for 30 days (Feb. 6-March 7, 2019) before submitting settings to the Centers Medicare & Medicaid Services (CMS) for heightened scrutiny.

We sought public comments using the following methods:

- Evidentiary packages posted online on the [Home and Community Based Services Rule transition plan page](#)
- Evidentiary packages specific to each setting posted in a common area of the setting
- Notice of public comment period via [Feb. 6, 2019, eList announcement](#)
- Notification to lead agencies via regional resource specialists
- Notification to providers via email
- Notification to managed care organizations and Area Agencies on Aging (AA) via email
- Notification to long-term care ombudsman office via email
- Disability Hub MN virtual insight panel.

## Minnesota's recommendation

**Date of recommendation: 4/1/2019**

**Minnesota supports that this setting overcomes the institutional presumption and meets the requirements of a home and community-based setting. Provider is required to maintain on-going compliance with all HCBS requirements.**