

HCBS Final Rule Evidentiary Package

Insert Name of Provider Here

Picture of Program Here

Setting information		
Setting Name:	ID #	
Street Address:	Phone:	
Setting website, if applicable:	Date of site visit: Click here to enter a date.	
Waiver Service Type		Service Type:
<input type="checkbox"/> Alternative Care (AC) <input type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)		Choose an item.
Reason for Heightened Scrutiny		
Choose an item.	Choose an item.	Choose an item. Click here to enter text.

General summary

Licensure requirements, provider standards/qualifications

Service definitions that support the setting requirements

Prong 1 and Prong 2 settings:

Meaningful distinction between the facility and HCBS setting

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</p> <p>(Enter narrative here)</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>To the extent any facility staff are assigned occasionally or on a limited basis to support or back up the HCBS staff, the facility staff are cross-trained to meet the same qualifications as the HCBS staff; , (staff training materials that speak of the need to support individuals' chosen activities), (person centered planning) (the staff is trained specifically for home and community-based support in a manner consistent with the HCB settings regulations.)</p> <p>(Enter narrative here)</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the facility setting, to the exclusion of other options; (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>(Enter narrative here)</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</p> <p>(Enter narrative here)</p>

Community Engagement Opportunities and Experiences

HCBS Characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule Requirement	Compliance status (Please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Not applicable to day service settings</p>
<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Not applicable to day service settings</p>
<p>The setting facilitates that a person, <i>who shares a bedroom</i>, is with a roommate of their choice.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Not applicable to day service settings</p>
<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Not applicable to day service settings</p>

<p>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Compliant</p>
<p>The setting allows people to have visitors at any time.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Compliant</p>
<p>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Compliant</p>
<p>The setting is physically accessible to the individual.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Compliant</p>
<p>The setting provides people opportunities to access and engage in community life.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	<p>Compliant</p>

<p>The setting supports the person’s control of personal resources.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	Compliant
<p>The setting ensures people’s right to privacy.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	Compliant
<p>The setting ensures people’s dignity and respect.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	Compliant
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	Compliant
<p>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</p> <p><input type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>(Enter narrative here)</p>	Compliant

Pictures of the HCBS setting

(insert pictures here)

Public comment summary

(Enter summary here)

Minnesota's Recommendation

Date of recommendation: Click or tap to enter a date.

Choose an item.