



# **Minnesota Department of Human Services Child Care Regulation Modernization Projects**

**Key Indicators  
Stakeholder Session - November 2022**

# National Association for Regulatory Administration

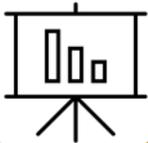
- NARA is an international non-profit professional association founded in 1976 representing all human care licensing
- Licensing professionals including Dr. Richard Fiene – “Father of Key Indicator System Theory”
- NARA’s professional services and educational curricula have been used by dozens of states and provinces for program-specific research, training, and customized technical assistance
  - Child day and residential settings
  - Care settings for older adults
  - Care settings for mental health and individual with disabilities



# Objectives



Introduce the Modernization Projects



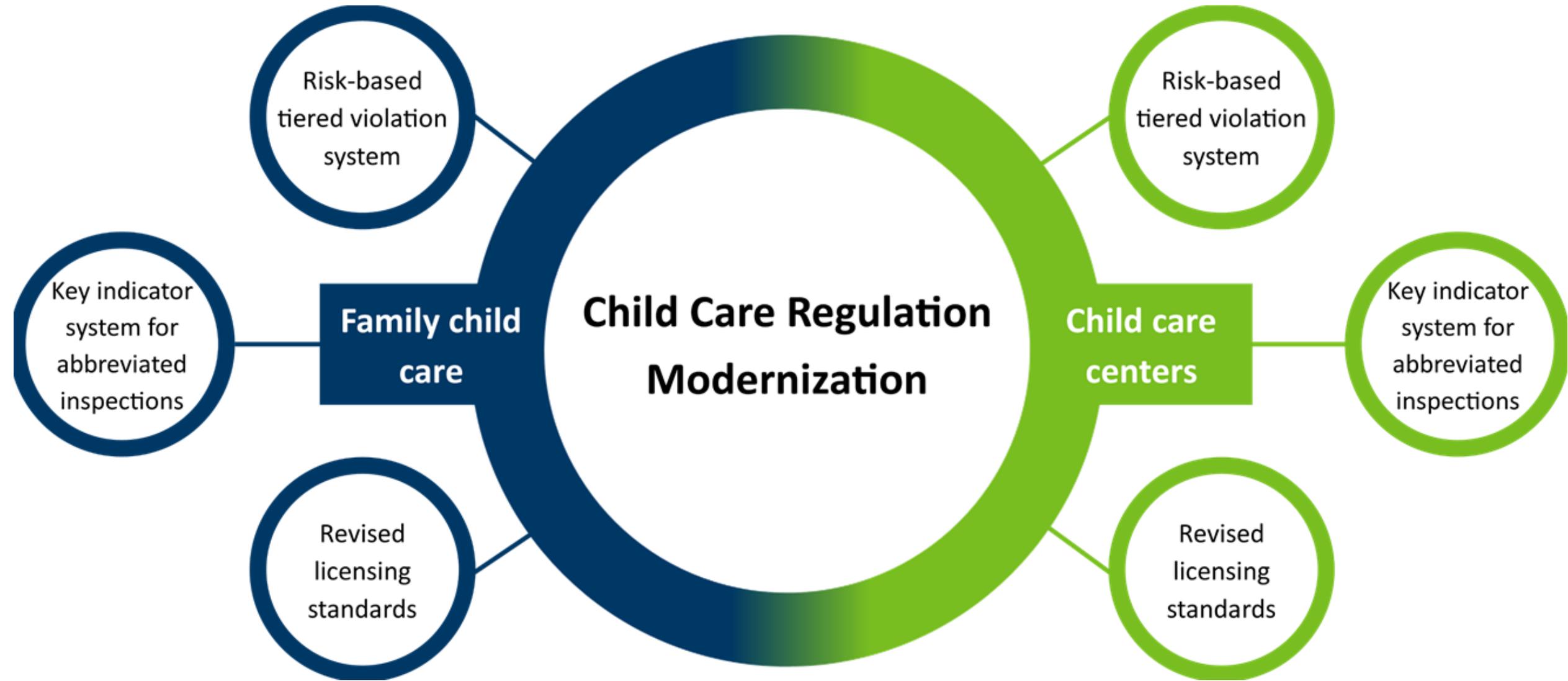
Introduce the Key Indicator Process



Gather Feedback from Stakeholders



# Overview of Projects



# Poll Question 1

What perspective are you representing today?

1. Family child care provider
2. Child care center provider
3. Parent, guardian, or family member
4. Licensing staff
5. Representative of early childhood/child care-related organization
6. Other—please specify in the chat



# Poll Question 2

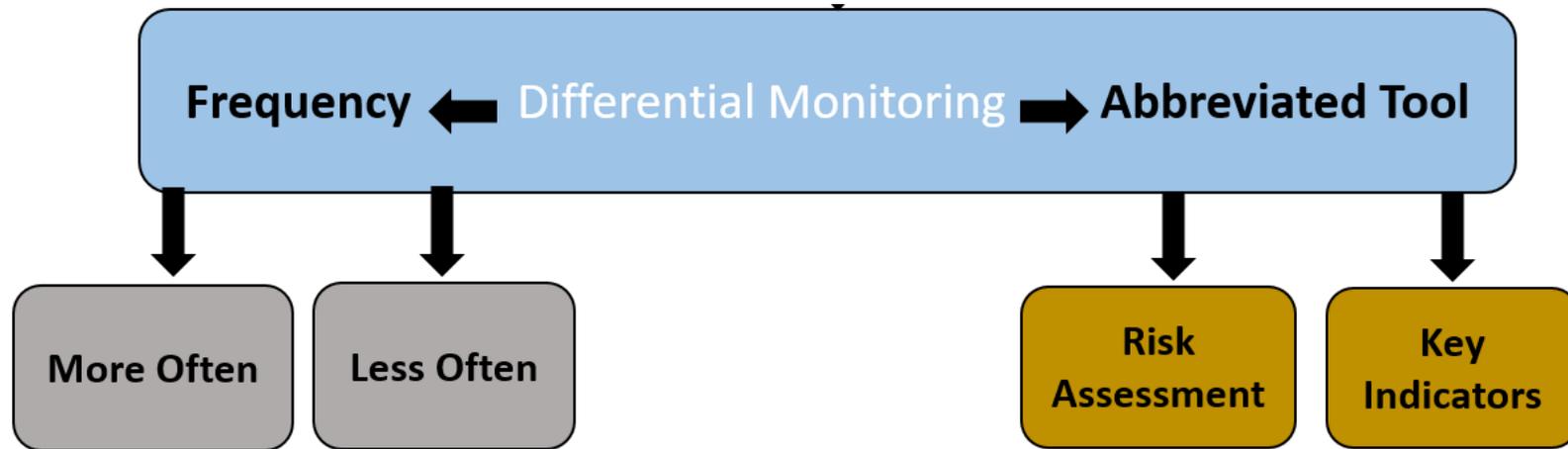
How familiar are you with the child care regulation modernization projects?

1. **Unfamiliar** – This is my first time learning what the projects are
2. **Somewhat unfamiliar** – I've heard of the projects, but need to get up to speed
3. **Somewhat familiar** – I've attended sessions in the past, but want to improve my understanding
4. **Familiar** – I am very informed and have participated in previous project meetings



# Differential Monitoring

- A regulatory method for determining the frequency or depth of monitoring based on an assessment of a facility's history of compliance with licensing rules.
- Incorporates **Targeted Measurement Tools**



Note: Graphic adapted from Fiene, R. (2013). *Differential monitoring logic model and algorithm (DMLMA: A new early childhood program quality indicator model (ECPQIM4)© for early care and education regulatory agencies, Middletown, PA: Research Institute for Key Indicators.*



# Key Indicator System (KIS)

- Identifies a subset of licensing regulations that statistically predict compliance with the entire set of regulations
- Licensing oversight agencies throughout the United States and Canada have successfully implemented a KIS:
  - Michigan
  - Indiana
  - Montana
  - Saskatchewan
  - Washington
  - Florida
  - Oregon
  - Others



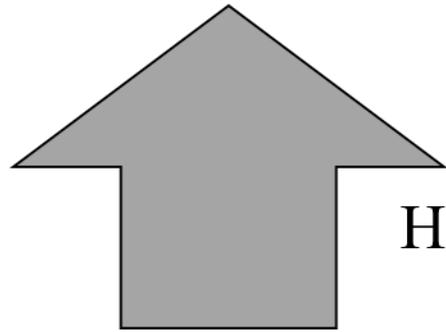
# KIS Misconception

Many people mistakenly believe that KIS identify the most “serious” regulations (the regulations which, if violated, pose the greatest risk to children in care.)

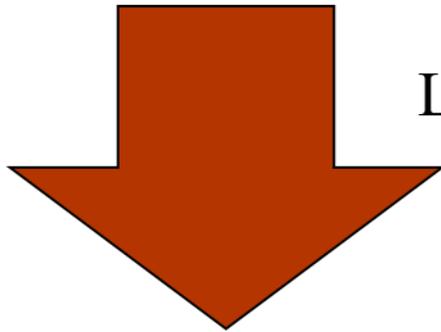
**Remember:** KIS identify a subset of licensing regulations that *statistically* predict compliance with the entire set of regulations.



# How Does a KIS Work?



High Compliance = Fewer Violations



Low Compliance = Many Violations

Research shows some violations are usually identified during licensing inspections, even at the most highly-compliant settings.



# Identifying Key Indicators



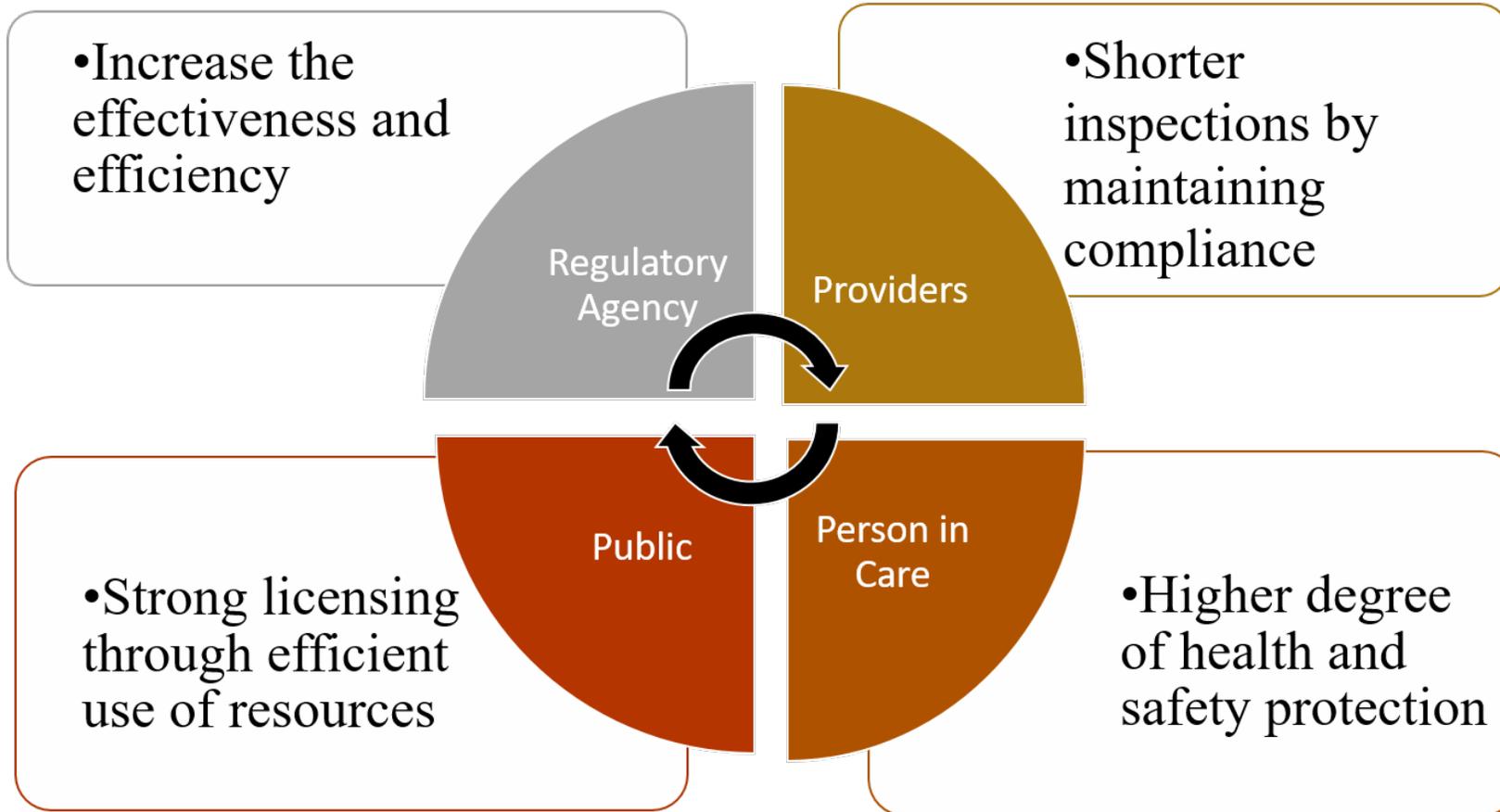
# Why we know KIS works

By measuring compliance with the Key Indicators, we can be **very confident** the setting is compliant with all other regulations

- ✓ NARA has developed and refined KIS for over 30 years
- ✓ Methods are time-tested and proven to maximize agency performance without sacrificing the health and safety of persons in care
- ✓ Independent research conducted by Dr. Richard Fiene, an early-child education professional and NARA consultant, has found patterns in key indicators of compliance/quality in childcare programs, suggesting that certain areas of regulatory oversight function as key indicators nationwide



# KIS Benefits



# Eligibility Requirements

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Examples

Years as license holder

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Years licensed

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Years at current location

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Continuity of program operation and/or staffing

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Compliance history

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History of non-licensing investigations

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# Safeguards

- Eligibility Criteria
- Inspection (Checklist) Expansion
- Identify regulations that are always measured, even if not Key Indicators



# Feedback



# Poll Question 3

What is most exciting to you about abbreviated inspections and Key Indicator Systems?

1. Shorter inspections for providers in good standing
2. More time for technical assistance
3. Improving efficiency of my work
4. Using a targeted, data-driven approach for licensing reviews
5. Other – please specify in the chat



# Resource

Additional information related to Key Indicator Systems can be located on NARA's [Key Indicators webpage](#).



# Survey and Evaluation Link

Please take the [survey and session evaluation](#) via the link posted in the chat.





# Thank You!

If you have additional questions, please feel free to email the project team at [ccregmodernization.DHS@state.mn.us](mailto:ccregmodernization.DHS@state.mn.us)