

# MN 245G Comprehensive Assessment Reference Guide

The Comprehensive Assessment Reference Guide is intended to be used as a tool for staff when interviewing and/or documenting a comprehensive assessment. A summary of each dimension is required. A program may choose any preferred format to document the assessment. This guide incorporates 245G.05 requirements and utilizes the ASAM Criteria, Third Edition and the free ASAM Criteria Assessment and Interview Guide. This guide is not all inclusive and additional follow up questions may be utilized as needed throughout the course of an assessment interview. *\*Note: Although the comprehensive assessment includes requirements within a diagnostic assessment, an individual cannot diagnose mental health disorders that fall outside their scope of practice.*

- + **Indicates a statute requirement.**
  - \* **Indicates a statute requirement** but If the assessor cannot obtain the information required without retraumatizing the client or harming the client's willingness to engage in treatment, the assessor must identify which topics will require further assessment during the course of the client's treatment.
  - + References from The ASAM Criteria Third Edition and [The ASAM Criteria Assessment Interview Guide](#) (Optional sample prompting questions that can be individualized to program/client as needed.)
  - *Items that do not contain a “+” or “\*” are not required in MN Statute. These items are not required rather suggestions for completion of a thorough comprehensive assessment. You may choose to utilize the optional sample questions from the ASAM Criteria Assessment Interview Guide or develop your own questions.*
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## Demographics

- + The client's age/DOB;
  - May want to include DAANES demographic information or other relevant demographic information.
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## Referral Source

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## Date individual was first recommended to obtain or sought out an assessment.

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## Presenting Problem

(This should focus on the interviewers’ observations and give the reader a clear understanding of exactly why a client is seeking treatment)

- + The client's description of the client's symptoms, including the reason for the client's referral.
- + Any immediate risks to the client's health and safety, including withdrawal symptoms, medical conditions, and behavioral and emotional symptoms.  
Questions to consider/address. May be documented in Presenting Problem or in relevant dimension.
- + ASAM Immediate Need Profile (pg. 66, ASAM Criteria, Third Edition) Also on Pg. 30 of ASAM Criteria Assessment Interview Guide.
- + ASAM Criteria Assessment Interview Guide, Dim 1 – Question 2-3, 5-6 (withdrawal)
- + ASAM Criteria Assessment Interview Guide, Dim 2 – Question 19 (medical) but would need to answer other questions in Dim 2 to determine answer to 19.

- ✚ ASAM Criteria Assessment Interview Guide, Dim 3 – Question 11 & 12
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 5 – Questions 5-6
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 6 – Question 16-18
  - *Required for 1115 demonstration waiver: Whether cultural and socioeconomic factors affect the client's access to services.*
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**Biopsychosocial Summary** (This should be a comprehensive expression of the client's life summary. Anyone reading this, should get a picture of who this client is.)

- ✚ You may consider utilizing the ASAM Biopsychosocial Summary in this section - The ASAM Criteria, Third Edition, pg. 39-41
    - History of present episode including precipitating factors, current symptoms and pertinent present risks
    - + The status of the client's basic needs (health, housing, hunger, etc.)
    - \* The client's relationship with the client's family and other significant personal relationships, including the client's evaluation of the quality of each relationship.
    - \* Important developmental incidents in the client's life.
      - Language, literacy or auditory barriers
      - Any additional information on necessary accommodations
    - + Cultural influences on the client
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+ **Dimension #1 - Acute Intoxication/Withdrawal Potential**

(Explore an individual's past and current experience of substance use and withdrawal.)

- + Substance use history, if applicable, including amounts and types of substances, frequency and duration, route of administration, periods of abstinence, and circumstances of relapse; and the impact to functioning when under the influence of substances
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 1 – Question 1 addresses amounts and types of substances, frequency and duration, route of administration
- \* The client's history of or exposure to alcohol and drug usage and treatment.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 1 Questions 2-6
- Recommended for Withdrawal concerns: Administer standardized withdrawal rating scales such as [CIWA](#) or [COWS](#).

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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+ **Dimension #2 – Biomedical Conditions and Complication**

(Explore the client's health history and current physical conditions. This will include previous health issues including hospitalizations and surgeries. Chronic issues should also be included. Will these physical issues interfere with treatment? Are they part of the reason that a person needs treatment? Are they contributory? Does the individual need to see medical doctor on a regular basis?)

- \* The client's health history and the client's family health history, including physical and chemical health history.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 2 – Questions 3, 5, 8,9, 11 and 12
- + The client's current medications
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 2 – Question 2

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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+ **Dimension #3 - Emotional, Behavioral or Cognitive Conditions and Complications**

(Exploring and individuals' thoughts, emotions, and mental health issues. Document all historic mental, emotional, psychological, and behavioral issues including diagnostics and medications. This should include notation of any psychiatric hospitalizations. You may also wish to include significant family mental health issues.)

- Clients identified mental health diagnosis or conditions.
- \* The client's mental health history. Clients' family mental health history
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 3
- + The client's history of mental health treatment.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 3 – Question 3-4 and 7
- + The client's current medications.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 3 – Question 6
- \* Maltreatment, trauma, potential brain injuries, and abuse that the client has suffered.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 3 Question 2 (brain injury) Question 5 (abuse)
- + A determination of whether the individual screens positive for co-occurring mental health disorders using a screening tool approved by the commissioner pursuant to section 245.4863. The [GAIN-SS](#) is the Commissioner approved tool.

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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+ **Dimension #4 - Readiness to Change**

(Discuss the readiness of the individual to change, stages of change)

- + The client's perceptions of the client's condition.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 4 and 12
- + The impact to functioning when under the influence of substances.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 4 – Question 1
- \* The client's strengths and resources
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 4 Question 9

*Note: Questions 1-9 in the ASAM Criteria Assessment Interview Guide are helpful in determining readiness to change.*

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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+ **Dimension #5 – Relapse, Continued Use or Continued Problem Potential**

(Explore the individual's unique relationship with relapse or continued use problems. Does the individual experience cravings? How do the cravings present – mild, moderate, strong?)

- + Periods of abstinence from substances, and circumstances of relapse.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 5 - Questions 1-3
- + The client's history of substance use disorder treatment.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 4 - Questions 6
  - ✚ Include additional detail history of treatment, including dates, programs, outcome, etc.

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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+ **Dimension #6 – Recovery/Living Environment**

(Explore an individual’s recovery or living situation, and the surrounding people, places, and things)

- + The client's education level and employment status.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 6 – Question 5
- + The client's current living situation, including the client's housing status and household members.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 6 – Questions 1-4 and 15
- + The impact to functioning when under the influence of substances, including legal interventions.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 6 Question 11-12
- \* The client's strengths and resources, including the extent and quality of the client's social networks.
  - ✚ ASAM Criteria Assessment Interview Guide, Dim 6 Question 14, 19-20

+ **Risk Rating:**

+ **Summary to support the risk rating:**

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**Collateral Information**

This is not required but you are encouraged to include information from collateral sources when the information supports client’s needs.

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**Diagnostic Criteria**

- + Include a diagnosis of a substance use disorder or a finding that the client does not meet the criteria for a substance use disorder.
    - Use DSM-5-TR for diagnosis. Determine whether the client currently meets the criteria for a substance use disorder using the diagnostic criteria in the DSM-V-TR.
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**Recommendations**

- Note client’s preference. Does client agree with recommendations? Is client willing to follow recommendations? Alternate recommendations based on client’s willingness to follow assessment recommendations.
- + A recommendation for the ASAM level of care identified in section 254B.19, subdivision 1.
- + If the individual is assessed for opioid use disorder, the program must provide [educational materials](#) to the client within 24 hours of service initiation on:

- \_\_\_ Risks for opioid use disorder and dependence.
- \_\_\_ Treatment options, including the use of a medication for opioid use disorder.
- \_\_\_ The risk and recognition of opioid overdose; and
- \_\_\_ The use, availability, and administration of an opiate antagonist to respond to opioid overdose.

*Note: If the client is identified as having opioid use disorder at a later point, the required educational material must be provided at that point.*

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### **Signatures**

- + The comprehensive assessment is complete upon a qualified staff member's dated signature.
- *Required for 1115 demonstration waiver: A licensed practitioner's review and signature no later than fourteen days from the day of service initiation when there is a risk rating of 3 or higher in dimensions 1 or 2.*