

## Vulnerable Adult Lead Investigative Agency Determination Chart *(Original Effective Date: 2/12/2015)* last revised: 8/14/2023, Published: 8/16/2023

- MDH or DHS are the LIA when the alleged perpetrator is related to the provider/program/service licensed by MDH/DHS.
- When the allegation involves a vulnerable adult who receives services from MDH or DHS, but the alleged perpetrator is not related to a MDH or DHS licensed provider/program/service. County is LIA.
- Determine if an allegation of caregiver neglect should be included in the report when the allegation is self-neglect and the VA is residing in a facility.

Facility, Provider Type, Program, or Type of Service	Lead Investigative Agency (MDH, DHS - Licensing, or County Adult Protection)	Definitions	Self - Neglect
<b>Adult Day Care</b> <i>(Family or Corporate)</i>	DHS - Licensing	<i>A planned program of activities designed to meet the health and social needs of persons with functional limitations age 18 years and older. Adult day care provides a variety of services to adults who need supervised care outside their residence during the day.</i>	DHS - Licensing
<b>Adult Rehabilitative Mental Health Services (ARMHS)</b>	County Adult Protection	<i>County Mental health services which are rehabilitative and enable the recipient to develop and enhance psychiatric stability, social competencies, personal and emotional adjustment, independent living, parenting skills, and community skills, when these abilities are impaired by the symptoms of mental illness.</i>	County Adult Protection
<b>Ambulance Services</b>	MDH	<i>Transport of a person who requires medically necessary services before and during transport. Ambulance services include emergency and non-emergency services for advanced life support, basic life support, or scheduled life support. Eligible providers are licensed as a transportation service provider. (Provider types: hospitals; ICF/DD; managed care/pre-paid health plans; medical transportation; nursing facilities; Indian Health Services)</i>	MDH
<b>Ambulatory (Outpatient) Surgical Center</b>	MDH	<i>A facility licensed and certified as an outpatient surgical center to provide surgical procedures which do not require overnight inpatient hospital care.</i>	<b>Not at Facility</b>
			<b>At Facility</b>
			County Adult Protection
<b>Assertive Community Treatment Team (ACT)</b>	County Adult Protection	<i>An intensive, comprehensive, non-residential rehabilitative mental health service team model.</i>	County Adult Protection
<b>Assisted Living</b>	MDH	<i>A facility licensed as an assisted living facility (ALF) or assisted living facility with dementia care (ALFDC) which provides sleeping accommodations and assisted living services to one or more adults. Residents of an ALF or ALFDC may be housing-only, or receive supportive services, or may receive health care services of varying levels of complexity.</i>	MDH
<b>Behavioral Support Services</b>	DHS - Licensing	<i>Positive support services (formerly behavioral support): Services that consist of developing, implementing and monitoring a person-centered, individually designed, proactive plan to address challenging behaviors. A waiver positive support professional develops this plan to enhance a person's quality of life through the process of teaching or increasing positive behavior. When possible, the person leads the process to develop a positive behavior support plan and/or positive support transition plan.</i>	DHS - Licensing
<b>Board and Care</b>	MDH	<i>A licensed facility or unit used to provide care for aged or infirm persons who require only personal or custodial care and related services in accordance with these regulations. A boarding care home license is required if the persons need or receive personal or custodial care only. Nursing services are not required. Examples of personal or custodial care: board, room, laundry, and personal services; supervision over medications which can be safely self-administered; plus a program of activities and supervision required by persons who are not capable of properly caring for themselves.</i>	MDH
<b>Board and Lodge/ Board and Lodge with Special Services</b>	County Adult Protection	<i>Provide sleeping accommodations and meals to five or more adults for a period of one week or more. They offer private or shared rooms with a private or attached bathroom. There are common areas for dining and for other activities. Many offer a variety of supportive services (housekeeping or laundry) or home care services (assistance with bathing or medication administration) to residents. May include special services registered with MDH.</i>	County Adult Protection
<b>Case Manager/Care Manager/Care Coordinator</b>	County Adult Protection	<i>Activities that help people access, coordinate and monitor needed services as they relate to the person's assessed needs and preference regardless of funding sources.</i>	County Adult Protection
<b>Children's Residential Facilities (CRF)</b>	DHS - Licensing	<i>Children's Residential Facilities (CRF) are licensed through DHS Licensing/Mental Health Unit. They typically serve children, but some facilities are licensed to serve youth up to the age of 21. This includes shelter care, homeless youth care, and long-term residential. 7/1/17, DHS Licensing and the Department of Corrections (DOC) dually license some correctional facilities with the DOC.</i>	DHS - Licensing

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			Not at Facility	At Facility
Chore Services	County Adult Protection	Support or assistance to a person or their primary caregiver to maintain a clean, sanitary and safe home.	County Adult Protection	
Clinic (Medical)	County Adult Protection	A health care facility that is primarily devoted to providing outpatient care.	County Adult Protection	
Clinic (Mental Health)	County Adult Protection	Provides comprehensive, integrated mental health services.	County Adult Protection	
Community Behavioral Health Hospital (CBHH)	MDH	Provide short-term inpatient psychiatric care at seven 16-bed sites in communities across the state. Multidisciplinary teams use various person-centered approaches to best meet the needs of the client. Supports can be incorporated into treatment by serving patients as close as possible to their home communities.	Not at Facility	At Facility
			County Adult Protection	MDH
Community First Services & Supports (CFSS)	County Adult Protection	Services provided to a person in their home that assist with the person's basic activities of daily living (i.e. dressing, toileting, meals, shopping). These services are supervised by a supervising professional. <u>CFSS program is not currently in effect</u> . When CFSS is effective, it will replace the personal care assistants (PCA) program and will have the same eligibility requirements & provide the same services as PCA.	County Adult Protection	
Companion Service	DHS - Licensing	Non-medical care, assistance, supervision and socialization provided in accordance with a therapeutic goal in the plan of care to a person age 18 years or older.	DHS - Licensing	
Crisis Respite	DHS - Licensing	Services that provide specific short-term care and intervention strategies to a person due to the need for relief and support of the caregiver and/or protection of the person or others living with that person. This includes addressing both medical and behavior needs.	DHS - Licensing	
Crisis Response Service	County Adult Protection	Community based services provided by a county, tribe or contracted crisis team to adults age 18 or older experiencing a behavioral, emotional, or psychiatric situation that would likely result in significantly reduced levels of functioning in primary activities of daily living or in the placement of the recipient in a more restrictive setting (such as inpatient hospitalization).	County Adult Protection	
Customized Living	MDH	Package of regularly scheduled individualized health-related and supportive services provided to a person residing in a residential center (apartment buildings) or housing with services establishment.	MDH	
Day Services Facility/Training and Habilitation (DT&H's)	DHS - Licensing	Licensed supports to provide persons with help to develop and maintain life skills, participate in community life and engage in proactive and satisfying activities of their own choosing.	DHS - Licensing	
Day Treatment or Non-Residential Treatment -Mental Health	County Adult Protection	Intensive psychotherapeutic treatment. The goal of day treatment is to reduce or relieve the effects of mental illness and provide training to enable the recipient to live in the community.	County Adult Protection	
Detoxification Services	DHS - Licensing	Licensed program that provides short-term care on a 24-hour a day basis for the purpose of detoxifying clients and facilitating access to chemical dependency treatment as indicated by an assessment of needs.	DHS - Licensing	
Employment Support Services	DHS - Licensing	Individualized services and supports that help people maintain paid employment in community businesses/settings. Employment support services occur in integrated community settings.	DHS - Licensing	
Foster Care (Family or Corporate)	DHS - Licensing	Individual waiver services provided to persons living in a home licensed as foster care. Foster care services are individualized and based on the individual needs of the person and service rates must be determined accordingly.	DHS - Licensing	
Foster Residential Setting (CFRS)	DHS - Licensing	Children's Residential Facilities (CRF) are licensed through DHS Licensing/Mental Health Unit. They typically serve children, but some facilities are licensed to serve youth up to the age of 21. This includes shelter care, homeless youth care, and long-term residential. 7/1/17, DHS Licensing and the Department of Corrections (DOC) dually license some correctional facilities with the DOC.	DHS - Licensing	
Group Home	DHS - Licensing	Provides supervision, counseling, and DHS licensed habilitative or rehabilitative program services. The physical location of the group home may not be licensed, however, the services provide within the home may be.	DHS - Licensing	
Group Residential Housing (GRH)/Housing Support	County Adult Protection	State-funded income supplement program that pays for room-and-board costs for low-income adults who have been placed in a licensed or registered setting with which a county human service agency has negotiated a monthly rate. GRH is not a licensed service or a "housing" setting, it is a funding source. People receiving GRH funding may or may not be receiving licensed services.	County Adult Protection	
Halfway House	County Adult Protection	A center for individuals after institutionalization (as for mental disorder or drug addiction) that is designed to facilitate their readjustment to private life.	County Adult Protection	
Home and Community Based Services (HCBS)/Community Residential Setting (CRS)	DHS- Licensing	Services not normally covered by MA, which are covered under a 1915 (c) federally funded waiver program or through state funds. HCBS waivers allow states flexibility to cover virtually all long-term care services that persons with disabilities need to live independently in home and community settings. States may operate several 1915 (c) HCBS waiver programs at once, each offering a distinct package of services and supports to a different group of persons. May be offered in a licensed or unlicensed location, including the VA's home. 24-hour Emergency Assistance, Personal Support Services, Integrated Community Supports, Individualized Home Supports <b>(Basic services include ; Companion Services, Homemaker Services, Night Supervision, Residential Services Facility w/out ICF/DD, Respite Care Services)</b>	DHS - Licensing	

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			Not at Facility	At Facility
Home Care	MDH	Option that offers a range of medical care and support services provided in the person's home and community. Services range from simple assistance in activities of daily living to a level of care similar to cares provided in a hospital.	County Adult Protection	
Home Health Agency	MDH	An organization that provides health care in the home. Medicare certification for a home health agency in the United States requires provision of skilled nursing services and at least one additional therapeutic service.	County Adult Protection	
Homeless Shelter	County Adult Protection	Temporary residences of desperation for homeless people which seek to protect vulnerable populations from the negative effects of homelessness while simultaneously reducing the environmental impact on the community	County Adult Protection	
Homemaker	DHS - Licensing	Services ranging from light household cleaning to household cleaning with incidental assistance with home management and/or activities of daily living. <b>(S5130 Homemaking services, "cleaning only" is County jurisdiction)</b>	DHS - Licensing	
Hospice	MDH	Palliative and supportive care for persons who are terminally ill and their families to meet the physical, nutritional, emotional, social, spiritual and special needs experienced during the final stages of illness, dying and bereavement.	County Adult Protection	
Hospital	MDH	An institution providing medical and surgical treatment and nursing care for sick or injured people.	<b>Not at Facility</b>	<b>At Facility</b>
			County Adult Protection	MDH
Hospital Swing Bed	MDH	A hospital or critical access hospital (CAH) participating in Medicare that has CMS approval to provide post-hospital SNF care and meets certain requirements.	<b>Not at Facility</b>	<b>At Facility</b>
			County Adult Protection	MDH
Housing with Services	MDH	An establishment providing sleeping accommodations to one or more adult residents, at least 80 percent of which are 55 years of age or older, and offering or providing, for a fee, one or more regularly scheduled health-related services or two or more regularly scheduled supportive services, whether offered or provided directly by the establishment or by another entity arranged for by the establishment.	MDH	
Independent Community Living Support (ICLS)	DHS - Licensing	ICLS includes transportation, ADL assistance, household management, supervision, community engagement, remote communication (phone, video) and homemaking as billable services.	DHS - Licensing	
Independent Living Skills (ILS)	DHS - Licensing	Services that develop, maintain and improve the community living skills of a person. Residential Based Habilitation, Supportive Living Services, In-Home Family Supports	DHS - Licensing	
Informal or Family Caregiver	County Adult Protection	Informal caregivers are family, friends, neighbors and others who provide services and assistance to person without reimbursement for the services.	County Adult Protection	
In-Patient or Residential Chemical Dependency Treatment	DHS - Licensing	Sometimes called a "rehab", is a live-in health care facility providing therapy for substance abuse.	DHS - Licensing	
Intensive or Residential Treatment Services (IRTS)	DHS - Licensing	Time-limited mental health services provided in a residential setting. Recipients of IRTS are in need of more restrictive settings (versus community settings) and at risk of significant functional deterioration if they do not receive these services.	DHS - Licensing	

Facility, Provider Type, Program, or Type of Service	Lead Investigative Agency (MDH, DHS - Licensing, or County Adult Protection)	Definitions	Self - Neglect
<b>Intermediate Care Facility for Person w/Developmental Disability (ICF/DD)</b>	MDH	<i>Residential facility licensed as a health care institution and certified by the Minnesota Department of Health to provide health or rehabilitative services for persons with developmental disability or a related condition who require active treatment.</i>	MDH
<b>Meals on Wheels</b>	County Adult Protection	<i>Community-based service that provides fresh, nutritious meals delivered directly to the homes of seniors and individuals with disabilities.</i>	County Adult Protection
<b>Medical Transportation Services-Non-Emergency</b>	County Adult Protection	<i>Non-Emergency Medical Transportation (NEMT) transport of a person to and from medical service appointments. Eligible providers are licensed as a transportation service provider. (Provider types: hospitals; ICF/DD; managed care/pre-paid health plans; medical transportation; nursing facilities; Indian Health Services)</i>	County Adult Protection
<b>Mental Health Clinic/Center</b>	County Adult Protection	<i>Outpatient counseling and behavioral health facility.</i>	County Adult Protection
<b>Night Supervision</b>	DHS - Licensing	<i>Overnight assistance and monitoring in the home of a person for a period of no more than twelve (12) hours in a 24-hour period.</i>	DHS - Licensing
<b>Nursing Home/Long Term Care Facility</b>	MDH	<i>Facility or part of a facility licensed to provide nursing care for persons who are unable to care for themselves properly.</i>	MDH
<b>Outpatient Dialysis Treatment Centers</b>	MDH	<i>Center where dialysis treatments are initiated and managed by specialized staff made up of nurses and technicians.</i>	County Adult Protection
<b>Outpatient Mental Health Clinic</b>	County Adult Protection	<i>Provides comprehensive mental health services including assessment, diagnoses, and treatment for people with mental illness.</i>	County Adult Protection
<b>Personal Care Attendants (PCA)</b>	County Adult Protection	<i>Individual who is trained to help persons with basic activities of daily living (i.e. dressing, toileting, meals, shopping). These services may be supervised by a registered nurse or qualified professional. PCA services are also referred to as Personal Assistance Services (PAS).</i>	County Adult Protection
<b>Personal Care Assistant (PCA) - Home Care Agency</b>	MDH	<i>An individual who works for a licensed home care agency and is trained to help persons with basic activities of daily living (i.e. dressing, toileting, meals, shopping). These services may be supervised by a registered nurse or qualified professional. PCA services are also referred to as Personal Assistance Services (PAS).</i>	County Adult Protection
<b>Personal Care Assistance (PCA) - Personal Care Provider Organization (PCPO)</b>	County Adult Protection	<i>Provides the traditional services of recruiting and hiring of staff, training and orientation, scheduling, collecting time cards, financial management, and termination of staff, if needed.</i>	County Adult Protection
<b>Personal Emergency Response System (PERS, Lifeline)</b>	County Adult Protection	<i>Also known as Medical Emergency Response Systems, let you call for help in an emergency by pushing a button. A PERS has three components: a small radio transmitter, a console connected to your telephone, and an emergency response center that monitors calls.</i>	County Adult Protection
<b>Personal Support Program</b>	DHS - Licensing	<i>Non-medical care, supervision and assistance provided in the home of the person or in the community to achieve increased independence, productivity and inclusion in the community. This service is available under the Developmental Disabilities waiver.</i>	DHS - Licensing

Facility, Provider Type, Program, or Type of Service	Lead Investigative Agency (MDH, DHS - Licensing, or County Adult Protection)	Definitions	Self - Neglect
Physical, Occupational or Speech Therapy	MDH	<p><b>Physical:</b> the treatment of disease, injury, or deformity by physical methods such as massage, heat treatment, and exercise rather than by drugs or surgery.</p> <p><b>Occupational:</b> a form of therapy for those recuperating from physical or mental illness that encourages rehabilitation through the performance of activities required in daily life.</p> <p><b>Speech:</b> training to help people with speech and language problems to speak more clearly.</p>	County Adult Protection
Prevocational Program	DHS - Licensing	Services designed to prepare persons for paid or unpaid employment, as reflected in the plan of care.	DHS - Licensing
Psychiatric Residential Treatment Facility (PRTF)	MDH	Psychiatric Residential Treatment Facilities (PRTF) provide active treatment to adults age 18 - 21 with complex mental health conditions. This is a residential facility rather than a hospital. PRTFs deliver services under the direction of a physician, seven days per week, to residents and their families, which may include individual, family and group therapy.	MDH
Residential-Based Habilitation Services	DHS - Licensing	Services designed to assist persons in acquiring, retaining and improving the self-help, socialization and adaptive skills necessary to reside successfully in home and community-based settings.	DHS - Licensing
Residential Facility for Persons with Mental Illness	DHS - Licensing	Intensive residential treatment services for individuals with a serious and persistent mental health diagnosis. Rule 36	DHS - Licensing
Residential Programs for People w/Physical Disabilities	MDH	Rule 80, for people with physical or cognitive disabilities, located in nursing facilities licensed by MDH.	MDH
Residential Services Facility/HCBS with ICF/DD	MDH	Supportive and health supervision services as identified in an Individual Service Plan provided in a residential facility licensed as a health care institution .	MDH
Residential Services Facility/HCBS without ICF/DD	DHS - Licensing	Supportive services provided in a licensed residential setting as identified in an Individual Service Plan.	DHS - Licensing
Residential Treatment	DHS - Licensing	Sometimes called a "rehab", is a live-in health care facility providing therapy for substance abuse, mental illness, or other behavioral problems.	DHS - Licensing
Respite Care Services	DHS - Licensing	Service provided to persons unable to care for themselves, furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care.	DHS - Licensing
Sex Offender Program (MSOP)	DHS - Licensing	High-security treatment program for sex offenders who are believed to be dangerous or have a sexual psychopathic personality.	DHS - Licensing
Sober House	County Adult Protection	Facilities used by people recovering from substance abuse, which serve as an interim environment between rehab and a return to their former lives	County Adult Protection
Specialist Service: DD Waiver	DHS - Licensing	Services provided by an expert or professional designed to promote, support and augment staff and caregiver competency to meet a person's needs in one or more of the areas listed in the eligibility section.	DHS - Licensing
Specialized Transportation Services	County Adult Protection	Transportation of a person who is unable to safely use a common carrier and does not require ambulance service	County Adult Protection
State Hospital/ Regional Treatment Center dba Forensic Mental Health Program FMHP	DHS - Licensing	State facility for treating persons with mental illness, developmental disability or chemical dependency that is under the direct administrative authority of the Commissioner.(dba Forensic Mental Health Program)	DHS - Licensing
State Operated Community Services (SOCS or MSOCS)	DHS - Licensing	State operated residential and treatment programs serving people with mental illness, developmental disabilities and chemical dependency.	DHS - Licensing
Structured Day Program	DHS - Licensing	Service designed for persons who may benefit from continued rehabilitation and community integration directed at the development and maintenance of community living skills.	DHS - Licensing
Substance Use Disorder (chemical dependency) Treatment Facilities	DHS - Licensing	Provide outpatient substance abuse treatment services.Includes Methadone Clinics and Opioid Treatment Centers	County Adult Protection
Supervised Living Facilities (SLF)	MDH	Facility that provides supervision, lodging, meals, counseling, developmental habilitation or rehabilitation services under a Minnesota Department of Health license to five to more adults who are mentally retarded, chemically dependent, mentally ill or physically handicapped.	MDH
Supervised Living Facilities (SLF) - Minnesota Security Hospital (MSH)	DHS - Licensing	The Minnesota Security Hospital (MSH) is a secure treatment facility for people with serious and persistent mental illness who are civilly committed as mentally ill and dangerous.	DHS - Licensing

Facility, Provider Type, Program, or Type of Service	Lead Investigative Agency (MDH, DHS - Licensing, or County Adult Protection)	Definitions	Self - Neglect	
Supported Living Services/Individualized Home Supports (in-person/remote)	DHS - Licensing	<p><i>Supported Living Services (SLS) is training for people with developmental disabilities to increase independence at home and in the community. The supportive services include remote and in-person individualized home supports.</i></p> <p><b>In-Person</b>  <i>Services for people who live in their own home or family home that provide support and/or training in community living service categories. There are three types of individualized home supports:</i></p> <ul style="list-style-type: none"> <li>• <i>Without training</i></li> <li>• <i>With training</i></li> <li>• <i>With family training.</i></li> </ul> <p><i>All types of individualized home supports can be provided in the person's own home, family's home or in community spaces used by the general public, and either in person or remotely.</i></p> <p><b>Remote</b>  <i>Services for people who live in their own home or family home that provide support and/or training in community living service categories provided in a remote/virtual setting.</i></p>	DHS - Licensing	
Transitional Care Unit (TCU)	MDH	<p><i>Also known as a sub-acute care facility, is designed to meet the needs of adult patients who do not require the full resources of acute hospital care but are not quite ready for discharge.</i></p>	<b>Not at Facility</b> County Adult Protection	<b>At Facility</b> MDH

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			Not at Facility	At Facility
VA Medical Center	MDH	Veterans Administration Medical Center is an integrated health care system for Veterans, regulated by the federal government. Minnesota has two (2) VA Medical Centers located in Minneapolis and St. Cloud. Oversight of these facilities is managed by the Centers for Medicare and Medicaid Services (CMS), not the state of Minnesota.	County Adult Protection	MDH
Veterans Home	MDH	The Minnesota Veterans Homes are five (5) skilled nursing facilities located in MN (Minneapolis, Fergus Falls, Hastings, Luverne, Silver Bay) and are not affiliated with the VA Medical Centers. These 5 facilities are licensed by Minnesota Department of Health, not CMS)	County Adult Protection	MDH
Withdrawal Management Services (inpatient/outpatient)	DHS - Licensing	Licensed under Minnesota Statutes 2022, Chapter 245F (mn.gov) Subd. 26. Withdrawal management program. "Withdrawal management program" means a licensed program that provides short-term medical services on a 24-hour basis for the purpose of stabilizing intoxicated patients, managing their withdrawal, and facilitating access to substance use disorder treatment as indicated by a comprehensive assessment.	County Adult Protection	DHS - Licensing
Description of Incident/Impact	Lead Investigative Agency (MDH, DHS - Licensing, or County Adult Protection)	Definitions	Self - Neglect	
Against Medical Advice (AMA)	County Adult Protection	Person leaves or discharges themselves from a facility against the medical advice of their provider.	County Adult Protection	
Elopement	DHS - Licensing or MDH	Person wanders out of a facility due to negligence on part of the facility/facility staff. This occurs frequently with individuals that have cognitive/mental health and memory issues (Dementia, Alzheimer's etc.). LIA is determined based on the license held by the facility.	DHS - Licensing or MDH	
Leave of Absence (LOA)	DHS - Licensing or MDH	Person is signed out of a facility on a temporary leave. This occurs frequently with individuals residing in foster homes and are signed out for weekend home visits. LIA is determined based on the license held by the facility.	DHS - Licensing or MDH	
None	County Adult Protection		County Adult Protection	
Other/Unknown	Refer to DHS Adult Protection Unit			

**Please note:** This chart is subject to change. As we work with our partners and as statutes, licensing rules and policies are modified, this chart will be revised. Notice will be sent when revisions are made. Inquiries on if this chart is the most current can be sent to [dhs.adultprotection@state.mn.us](mailto:dhs.adultprotection@state.mn.us).

1. **If one Alleged Perpetrator**, base determination from the Vulnerable Adult screen under Receives Services: "This person receives services from:"
2. **If more than one Alleged Perpetrator**, refer to the Alleged Perpetrator screen: "Is this report for self-neglect because the vulnerable adult is neglecting their own needs?"
  - i. If answers are not the same for each perpetrator, LIA = Manual
  - ii. If answers are the same for each perpetrator, look at the Vulnerable Adult screen and follow rules under step #1.